



**CONSEJO INTERNACIONAL  
DE LAS MADERAS TROPICALES**

Distr.  
GENERAL

ITTC(XLVI)/9  
26 de octubre de 2010

ESPAÑOL  
Original: INGLÉS

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CUADRAGÉSIMO-SEXTO PERÍODO DE SESIONES  
Del 13 al 18 de diciembre de 2010  
Yokohama, Japón

**INFORME SOBRE LA EJECUCIÓN  
DE LOS PROGRAMAS TEMÁTICOS DE LA OIMT**

(Punto 15(b) del orden del día provisional)

## SIGLAS

CAPT	Comité Asesor del Programa Temático
CFME	Programa temático sobre manejo de bosques comunales y empresas forestales comunitarias
CIMT	Consejo Internacional de las Maderas Tropicales
DPT	Documento del programa temático
FLEGT	Proceso de aplicación de leyes, gobernanza y comercio forestales de la Unión Europea
JICA	Japan International Cooperation Agency ( <i>Agencia de Cooperación Internacional de Japón</i> )
OIMT	Organización Internacional de las Maderas Tropicales
OLMS	Sistema de seguimiento y control en línea
PFNM	Productos forestales no maderables
POA	Plan operativo anual
PPT	Perfil del programa temático
PSC	Protocolo de seguimiento y control
PT	Programa temático
REDD	Reducción de emisiones derivadas de la deforestación y la degradación forestal
REDDES	Programa temático para reducir la deforestación y la degradación forestal y mejorar los servicios ambientales de los bosques tropicales
TFLET	Programa temático sobre la aplicación de leyes, gobernanza y comercio forestales
TMT	Programa temático sobre transparencia del mercado y el comercio
UIOIF	Unión Internacional de Organizaciones de Investigación Forestal

## 1. Introducción

El presente documento se preparó conforme a las Decisiones 8 (XLIV) sobre la Subcuenta de programas temáticos (PT) de la Cuenta Especial, 9 (XLIV) sobre las directrices y procedimientos operativos para la aplicación de programas temáticos a escala piloto y 10 (XLIV) sobre los perfiles de los programas temáticos (PPT), en las que se solicita al Director Ejecutivo que prepare informes de avance anuales sobre la ejecución de los programas temáticos de la OIMT para presentarlos a la consideración del Consejo.

Los programas temáticos aprobados por el Consejo son los siguientes:

- Aplicación de leyes, gobernanza y comercio forestales (TFLET)
- Reducción de la deforestación y la degradación forestal y mejoramiento de los servicios ambientales de los bosques tropicales (REDDES)
- Manejo de bosques comunales y empresas forestales comunitarias (CFME)
- Transparencia del mercado y el comercio (TMT)
- Desarrollo y eficiencia industrial (IDE)

De conformidad con las mencionadas decisiones, el presente informe contiene información sobre el progreso realizado en la ejecución de los programas temáticos de la OIMT desde el final del cuadragésimo quinto período de sesiones del CIMT.

## 2. Desarrollo de los programas temáticos

Ya se ha alcanzado un progreso considerable en el desarrollo del programa y en la ejecución de las actividades, anteproyectos, pequeños proyectos y proyectos aprobados a través de los programas temáticos.

Las contribuciones recibidas durante el cuadragésimo quinto período de sesiones del Consejo permitieron lanzar, en el año 2010, otros dos programas temáticos sobre "Manejo de bosques comunales y empresas forestales comunitarias (CFME)" y sobre "Transparencia del mercado y el comercio (TMT)". En ambos casos, se elaboraron los textos preliminares de los documentos de los programas temáticos (DPT) conforme a la Decisión 9(XLIV) – *Aplicación de programas temáticos a escala piloto: Directrices y procedimientos operativos* y la Decisión 10(XLIV) – *Perfiles de los programas temáticos*, y sobre la base de los perfiles aprobados para los programas temáticos CFME y TMT.

Paralelamente, el Director Ejecutivo de la OIMT invitó a los países miembros a designar representantes para integrar los distintos Comités Asesores de los Programas Temáticos (CAPT) con el fin de ayudarlo con la ejecución de cada programa, en particular, para:

- seleccionar actividades/anteproyectos/proyectos para su financiación a través del programa temático correspondiente;
- controlar y evaluar el progreso alcanzado en la ejecución del programa temático respectivo;
- y
- determinar fuentes adicionales potenciales de contribuciones financieras voluntarias para el programa temático pertinente.

Conforme a las directrices y procedimientos estipulados en el Anexo 3 de la Decisión 9(XLIV), los integrantes de cada CAPT incluyen tres representantes de miembros productores con reconocida experiencia en el programa temático correspondiente, al menos un representante de un miembro consumidor no donante con reconocida experiencia en el programa temático pertinente, y representantes expertos de los donantes interesados e instituciones colaboradoras y el Director Ejecutivo o su representante designado. En consecuencia, se efectuó la selección de los integrantes de cada CAPT.

La composición actual de los comités asesores es la siguiente:

CFME:

Productores:

Sr. Ernesto ARENDS RODRÍGUEZ	Venezuela
Sr. Theodore MBARO	República Centroafricana
Sr. Juan Magboo PULHIN	Filipinas

Consumidores:

Dr. Pablo Manuel MARTÍNEZ DE ANGUITA	España (no donante)
Sr. Kazuyuki MORITA	Japón

TMT

Productores:

Sra. Pradeepa BHOLANATH	Guyana
Sra. Beatrice Darko OBIRI	Ghana
Sra. Sim Wah LOKE	Malasia

Consumidores:

Dr. Rin Won JOO	República de Corea (no donante)
Sr. Stefano GUIDESE	Suiza

Las primeras reuniones del CAPT de CFME y TMT tuvieron lugar en Yokohama, Japón, el 1 y 2 de marzo de 2010 y el 4 y 5 de marzo de 2010 respectivamente. Los textos preliminares de los documentos de los programas temáticos (DPT) fueron minuciosamente evaluados, corregidos y adoptados. Ambos Comités destacaron la necesidad de asegurar el suministro regular de fondos para la ejecución adecuada de los PT. Los llamados a propuestas de cualquiera de los PT sólo se lanzarán cuando se cuente con suficientes fondos disponibles. Si bien ambos Comités acordaron que el proceso de consulta para la evaluación de las propuestas debe hacerse por vía electrónica, se propuso que el Consejo considerara la posibilidad de proporcionar recursos financieros para permitir una reunión anual de los CAPT, especialmente durante la fase piloto de los PT. Tal reunión debería contar con la participación de los miembros de todos los CAPT para intercambiar experiencias. En el Anexo 3 se incluyen las actas de las dos reuniones celebradas.

Los DPT adoptados se distribuyeron a todos los países miembros de la OIMT en abril de 2010 junto con una guía para la presentación de propuestas, y la sección de PT del sitio web de la OIMT se actualizó de la manera correspondiente ([http://www.itto.int/en/thematic\\_programme\\_general/](http://www.itto.int/en/thematic_programme_general/)).

### 3. Administración y control de los programas temáticos

El Sistema de Seguimiento y Control en Línea de la OIMT (OLMS, por sus siglas en inglés) fue desarrollado para mejorar su facilidad de uso. El sistema se tradujo al español y francés para promover su más amplia aplicación. El sistema OLMS ofrece un proceso de seguimiento y control integral con base en la internet, lo que permite una interacción sistemática entre los coordinadores de proyectos de los organismos ejecutores y los administradores de proyectos de la OIMT. El sistema se estableció con el fin de llevar a cabo un proceso más eficiente de control y evaluación y lograr una administración general eficaz de los proyectos. Se creó una base de datos electrónica de proyectos con un expediente completo que incluye la documentación e información relativa a cada proyecto (p.ej. documento del proyecto, acuerdo del proyecto, informes técnicos, informes de misiones de control, informes de reuniones del Comité Directivo /Técnico, estados financieros e información sobre el flujo de caja). El uso del sistema OLMS es obligatorio para todos los proyectos ejecutados a través de los programas temáticos de la OIMT.

Para los cuatro PT activos (CFME, REDDES, TFLET y TMT), se elaboraron “protocolos de seguimiento y control” (PSC) como guía para el programa de control y evaluación de proyectos. El propósito de estos protocolos es establecer un marco de planificación, control y evaluación para los programas, que servirá de parámetro de referencia principal para medir el rendimiento y éxito de las actividades. Luego de una serie de reuniones y consultas, inclusive conversaciones sobre la sincronización con los protocolos de los otros programas temáticos de la OIMT, se presentaron los documentos finales en abril de 2010. En el Anexo 2 se adjuntan los PSC finales.

#### 4. Llamado a propuestas de PT

##### El ciclo del primer semestre de 2010

El ciclo del primer semestre de 2010 se lanzó el 16 de abril con un llamado a propuestas de los programas temáticos CFME, TFLET y TMT, con un plazo de entrega del 28 de mayo de 2010. Los fondos restantes en REDDES (US\$ 363.406) no se consideraron suficientes para lanzar un llamado a propuestas en ese programa.

En este ciclo de 2010, se recibieron 18 propuestas de diez países miembros y la Secretaría de la OIMT, por un valor total de US\$ 6.125.920. Nueve de estas propuestas, presentadas por seis países miembros (Ecuador, Ghana, Indonesia, Perú, PNG y Tailandia) y la Secretaría de la OIMT, con un presupuesto total de US\$ 3.320.881, se recibieron a través del programa CFME. Siete de las propuestas, presentadas por seis países miembros (Alemania, China, Guatemala, Honduras, Indonesia y Perú) y la Secretaría de la OIMT, con un presupuesto total de US\$ 2.544.179, se recibieron a través del programa TFLET. A través del programa TMT, se recibieron dos propuestas, presentadas por Ghana y la Secretaría de la OIMT, con un presupuesto total de US\$ 260.860.

Todas las propuestas se sometieron al proceso de evaluación de tres fases establecido para los PT, a saber:

- examen de las propuestas para verificar su cumplimiento con los requisitos estipulados en el ciclo de proyectos;
- análisis previo preliminar llevado a cabo por la Secretaría de la OIMT;
- evaluación experta de los miembros del Comité Asesor del Programa Temático (CAPT) correspondiente.

Sobre la base del examen preliminar de la Secretaría y la evaluación experta de los CAPT, el Director Ejecutivo de la OIMT seleccionó las siguientes diez propuestas (5 CFME, 4 TFLET y 1 TMT) para su financiación:

Asignaciones presupuestarias del ciclo de TFLET de 2010				
Nº de serie	Presentado por	Título del proyecto	Presupuesto OIMT	Presupuesto total
TFL-PD 017/09 Rev.2 (M)	China	Equipamiento de pequeñas y medianas empresas forestales en China para la obtención de madera tropical de bosques legales y bajo manejo sostenible	US\$322.056	US\$505.036
TFL-PD 019/10 Rev.2 (F)	Indonesia	Desarrollo de un sistema de manejo cooperativo en la Reserva de Biosfera de Cibodas, Java Occidental, Indonesia	US\$496.670	US\$591.278
TFL-PPD 023/10 Rev.1 (F)	Alemania	Desarrollo y ejecución de un sistema de identificación de especies y rastreo de maderas en África con huellas de ADN e isótopos estables	US\$175.742	US\$198.242
TFL-PPD 024/10 Rev.2 (F)	Guatemala	Mejoramiento de la aplicación nacional de la legislación forestal para promover la gobernanza forestal en Guatemala	US\$563.339	US\$804.419
Asignación presupuestaria total para el ciclo de TFLET de 2010			US\$1.557.807	

<b>Asignaciones presupuestarias del ciclo de CFME de 2010</b>				
<b>Nº de serie</b>	<b>Presentado por</b>	<b>Título del proyecto</b>	<b>Presupuesto OIMT</b>	<b>Presupuesto total</b>
CFM-PD 001/10 Rev.1 (F)	Indonesia	Refuerzo de la capacidad de los actores interesados para el desarrollo de plantaciones forestales comunales en tres áreas seleccionadas de Indonesia	US\$465.151	US\$553.711
CFM-PPD 005/10 Rev.1 (M)	Tailandia	Aumento del acceso a los mercados y capitales para los pequeños propietarios de plantaciones de teca en Tailandia	US\$31.104	US\$53.904
CFM-PPD 006/10 Rev.1 (F)	PNG	Fomento de la participación eficaz de propietarios tradicionales de tierras en los sistemas CFM y REDD en cuatro áreas piloto de PNG	US\$122.040	US\$156.040
CFM-SPD 007/10 Rev.1 (F)	Ghana	Enriquecimiento de jóvenes plantaciones forestales con PFNM seleccionados para mejorar los medios de sustento y apoyar a las comunidades aledañas al bosque en el Distrito de Atwima Mponua de Ghana con el fin de asegurar y proteger los recursos en base a un sistema de manejo forestal sostenible	US\$149.229	US\$290.079
CFM-PA 009/10 Rev.2 (F)	OIMT	Conferencia internacional sobre tenencia de bosques, gobernanza y pequeñas y medianas empresas forestales con especial énfasis en la región de Asia y el Pacífico	US\$ 200.000	US\$ 200.000
Asignación presupuestaria total para el ciclo de CFME de 2010			US\$ 967.524	

<b>Asignaciones presupuestarias del ciclo de TMT de 2010</b>				
<b>Nº de serie</b>	<b>Presentado por</b>	<b>Título del proyecto</b>	<b>Presupuesto OIMT</b>	<b>Presupuesto total</b>
TMT-SPD 002/10 Rev.1 (M)	OIMT	Aumento de la resistencia del sector de las maderas tropicales frente a los impactos de la crisis financiera y económica regional y mundial	US\$150.000	US\$150,000
Asignación presupuestaria total para el ciclo de TMT de 2010			US\$ 150.000	

La asignación presupuestaria aprobada para las diez propuestas en el ciclo de PT del primer semestre de 2010 fue de US\$ 2.675.331. Actualmente se están llevando a cabo las distintas actividades.

Los comentarios y recomendaciones de los evaluadores sobre las propuestas que no fueron recomendadas se compilaron y enviaron a los proponentes con copia a los respectivos contactos oficiales de la OIMT.

Dos de los proyectos (Ghana y Guatemala) recibieron apoyo durante la elaboración de las propuestas. En el Anexo 1 se presenta un resumen sobre cada actividad.

## **5. Financiación de los programas temáticos**

Después de las contribuciones financieras comprometidas en el cuadragésimo quinto período de sesiones del Consejo, celebrado en Yokohama, Japón, del 9 al 14 de noviembre de 2009, durante el trimestre pasado de 2010 se recibió financiación adicional por un total de US\$ 335.327 para el

programa TFLET de Alemania (US\$ 87.871) y EE.UU. (US\$ 247.456). Con la inclusión de estas dos contribuciones, la situación financiera de los distintos programas temáticos es la siguiente:

**TFLET:**

Durante el tercer trimestre de 2010, se recibieron nuevas contribuciones para el programa TFLET de EE.UU. (US\$ 247.456) y Alemania (US\$ 87.871). La financiación total comprometida para TFLET asciende a US\$ 6.181.711, ó el 41,21% del presupuesto total del programa (US\$ 15 millones) previsto para la fase piloto; por lo tanto, aún queda por cubrir un saldo de US\$ 8.818.289 (58,79% del presupuesto total). Entre los donantes del programa se incluyen los Países Bajos, el Reino Unido, Japón, EE.UU., Suiza, Alemania, Australia, Nueva Zelanda, Finlandia, Noruega, la República de Corea y JLIA (Japan Lumber Importers Association).

PT	Presupuesto indicativo aprobado	Financiación total comprometida	Saldo restante	Donantes
TFLET	US\$ 15.000.000 (100%)	US\$ 6.181.711 (41,21%)	US\$ 8.818.289 (58,79%)	Países Bajos, Reino Unido, Japón, EE.UU., Suiza, Alemania, Australia, Nueva Zelanda, Finlandia, Noruega, República de Corea. Japan Lumber Importers Association

Ya se ha adjudicado un total de US\$ 5.957.652 para actividades del programa TFLET, por lo que queda un monto de US\$ 224.059 por adjudicar.

a) Total comprometido	b) Adjudicado a la fecha	c) Disponible por adjudicar (a – b)
US\$ 6.181.711	US\$ 5.957.652	US\$ 224.059

La suma actualmente disponible para adjudicar a través de TFLET (US\$ 224.059) no se considera suficiente para lanzar otro llamado a propuestas para este programa antes de que se reciban otras contribuciones.

**REDDES:**

Las contribuciones recibidas para REDDES ascienden a US\$ 4.438.958, ó el 24,66% del presupuesto total (US\$ 18 millones) previsto para la fase piloto del programa; por lo tanto, aún queda por cubrir un saldo de US\$ 13.561.042 (75,34% del presupuesto total). Entre los donantes de este programa temático, se incluyen Noruega, Suiza, Japón y EE.UU.

PT	Presupuesto indicativo aprobado	Financiación total comprometida	Saldo restante	Donantes
REDDES	US\$ 18.000.000 (100%)	US\$ 4.438.958 (24,66%)	US\$ 13.561.042 (75,34%)	Noruega, Suiza, Japón, EE.UU.

Ya se ha adjudicado un total de US\$ 4.075.552 para actividades del programa REDDES, por lo que queda un monto de US\$ 363.406 por adjudicar.

a) Total comprometido	b) Adjudicado a la fecha	c) Disponible por adjudicar (a – b)
US\$ 4.438.958	US\$ 4.075.552	US\$ 363.406

La suma actualmente disponible para adjudicar a través de REDDES (US\$ 363.406) no se considera suficiente para lanzar otro llamado a propuestas para este programa antes de que se reciban otras contribuciones.

CFME:

Las contribuciones recibidas para CFME ascienden a US\$ 1.000.000, ó el 10% del presupuesto total (US\$ 10 millones) previsto para la fase piloto del programa; por lo tanto, aún queda por cubrir un saldo de US\$ 9.000.000 (90% del presupuesto total). Entre los donantes de este programa temático, se incluyen Japón, Suiza y EE.UU.

PT	Presupuesto indicativo aprobado	Financiación total comprometida	Saldo restante	Donantes
CFME	US\$ 10.000.000 (100%)	US\$ 1.000.000 (10,00%)	US\$ 9.000.000 (90,00%)	Japón, Suiza, EE.UU.

Ya se ha adjudicado un total de US\$ 997.524 para actividades del programa CFME, por lo que queda un monto de US\$ 2.476 por adjudicar.

a) Total comprometido	b) Adjudicado a la fecha	c) Disponible por adjudicar (a – b)
US\$ 1.000.000	US\$ 997.524	US\$ 2.476

La suma actualmente disponible para adjudicar a través de CFME (US\$ 2.476) no se considera suficiente para lanzar otro llamado a propuestas para este programa antes de que se reciban otras contribuciones.

TMT:

Las contribuciones recibidas para TMT ascienden a US\$ 1.000.000, ó el 20% del presupuesto total (US\$ 5 millones) previsto para la fase piloto del programa; por lo tanto, aún queda por cubrir un saldo de US\$ 4.000.000 (80% del presupuesto total). Entre los donantes de este programa temático, se incluyen Suiza, EE.UU., Japón y Finlandia.

PT	Presupuesto indicativo aprobado	Financiación total comprometida	Saldo restante	Donantes
TMT	US\$ 5.000.000 (100%)	US\$ 1.000.000 (20,00%)	US\$ 4.000.000 (80,00%)	Suiza, EE.UU., Japón y Finlandia

Ya se ha adjudicado un total de US\$ 180.000 para actividades del programa TMT, por lo que queda un monto de US\$ 820.000 por adjudicar.

a) Total comprometido	b) Adjudicado a la fecha	c) Disponible por adjudicar (a – b)
US\$ 1.000.000	US\$ 180.000	US\$ 820.000

Se anticipa que el próximo llamado a propuestas del programa se lanzará en el primer trimestre de 2011.

IDE:

Aún no se recibieron contribuciones ni expresiones de interés para el programa temático sobre desarrollo y eficiencia industrial (IDE).

Nombre del PT	Presupuesto total previsto	Total comprometido	Saldo restante	Donantes
IDE	US\$ 10.000.000 (100%)	US\$0.00	\$ 10.000.000 (100%)	Ninguno

De conformidad con la Decisión 9(XLIV), en virtud de la cual se insta a los miembros a contribuir a la Subcuenta de programas temáticos para iniciar la ejecución de los programas, el Consejo podrá



volver a destacar la necesidad apremiante de efectuar contribuciones financieras adicionales a fin de lanzar y ejecutar TODOS los programas temáticos de la Organización.

## 6. Actividades de divulgación

Se creó una sección especial en el sitio web de la OIMT dedicada a los programas temáticos ([http://www.ito.int/en/thematic\\_programme\\_general/](http://www.ito.int/en/thematic_programme_general/)). Este sitio contiene información general sobre los programas temáticos de la OIMT, inclusive los documentos de los programas temáticos, protocolos de seguimiento y control, información sobre los proyectos aprobados, información sobre los llamados a propuestas y enlaces a los manuales y otras documentación pertinente para la preparación de propuestas. Además, se ha publicado un folleto sobre los programas temáticos.

Por otra parte, en diversas reuniones y conferencias se presentó información sobre los programas temáticos. A continuación se presenta una lista de tales eventos:

- XXIII Congreso Mundial de la Unión Internacional de Organizaciones de Investigación Forestal (UIOIF), Seúl, Corea, 23 de agosto de 2010.
- Curso de verano, Universidad de Hiroshima, Universidad Agraria de Bogor, SEAMEO BIOTROP, Bogor, Indonesia, 9 de agosto de 2010.
- Conferencia Internacional sobre Conservación de la Biodiversidad en Bosques Tropicales Transfronterizos, Quito, Ecuador, 23 de julio de 2010.
- Segundo Foro Internacional para la Sustentabilidad en Asia y el Pacífico (ISAP 2010), Yokohama, Japón, 7 de julio de 2010.
- Seminario Internacional sobre REDD, Tokio, 10-12 de marzo de 2010, Administración Forestal de Japón, JICA.
- COP 15, Copenhague, en el evento paralelo sobre “Bosques, medios de sustento y el cambio climático” de OIMT/UIOIF/Inter-Cooperation, 11 de diciembre de 2009, y Día Forestal 3, evento de aprendizaje sobre “Financiación para los bosques y el cambio climático: integración de aspectos sociales, económicos y ambientales”.
- Ceremonia de lanzamiento de actividades de demostración de REDD en Indonesia (Jakarta, 6 de enero de 2010), oficiada por el Ministerio de Bosques de Indonesia. Entre las actividades de demostración se incluyó el proyecto 7&I de la OIMT. Los funcionarios de alto rango del Ministerio presentes en la ceremonia felicitaron a la OIMT por su reciente apoyo a la ejecución de actividades REDD en Indonesia a través de dos nuevos proyectos lanzados en el marco del programa temático REDDES de la Organización.
- V Reunión anual de coordinación de FLEGT, Bruselas, Bélgica, 19-20 de noviembre de 2009.
- Bruselas, Bélgica. Presentación de los programas temáticos de la OIMT en la Comisión Europea, Europe Aid, 27 de octubre de 2009.
- XIII Congreso Forestal Mundial, Buenos Aires, Argentina: Presentación de los programas temáticos durante un evento paralelo de la OIMT, 21 de octubre de 2009.

Asimismo, se publicó un artículo sobre el programa REDDES de la OIMT en la publicación del Programa ONU-REDD (edición número 3, octubre de 2009).

[http://www.un-redd.org/Newsletter3\\_ITTO\\_commentary/tabid/2037/language/en-US/Default.aspx](http://www.un-redd.org/Newsletter3_ITTO_commentary/tabid/2037/language/en-US/Default.aspx)

## 7. Observaciones finales

Los programas temáticos han tenido una excelente acogida en los países miembros de la OIMT, como se refleja claramente en el número de consultas y propuestas recibidas. Las contribuciones comprometidas durante el cuadragésimo quinto período de sesiones del Consejo permitieron el lanzamiento de otros dos PT adicionales sobre manejo de bosques comunales y empresas forestales comunitarias (CFME) y transparencia del mercado y el comercio (TMT) en 2010. Por consiguiente, para el segundo año de la fase piloto, se iniciaron cuatro de los cinco programas

temáticos aprobados. Durante el año 2010, se recibieron contribuciones adicionales de Alemania (US\$ 87.871) y EE.UU. (US\$ 247.456). Los tres llamados a propuestas lanzados en 2009 y 2010 recibieron un total de 58 propuestas (las propuestas presentadas nuevamente con enmiendas se cuentan como una), de las cuales 26 (44,83%) fueron financiadas. Además, ocho actividades recibieron financiación a través del programa precursor de TFLET, con lo cual se han financiado un total de 34 actividades (ver *Cuadro 1*) a través de los programas temáticos de la OIMT. La financiación total adjudicada a la fecha por la OIMT para la ejecución de estas 34 actividades asciende a US\$ 10.929.621. Esta financiación ha catalizado un total de aproximadamente US\$ 5,14 millones en fondos de contrapartida y contribuciones en especie de los países e instituciones participantes.

La Secretaría de la OIMT también ha racionalizado y mejorado el proceso de administración, seguimiento y control de los proyectos. Se elaboraron protocolos de seguimiento y control como guía para el programa de control y evaluación. Se desarrolló además el sistema de seguimiento y control en línea (OLMS) como herramienta interactiva basada en la internet para garantizar un proceso de control y evaluación más eficiente y una administración general más eficaz a nivel de los coordinadores de proyectos de los organismos ejecutores y los administradores de proyectos de la OIMT. El sistema funciona en tres idiomas (inglés, español y francés). Continuaron asimismo las actividades de divulgación, inclusive la preparación de un folleto sobre los PT, la distribución de información a través del sitio web de la OIMT, y presentaciones en talleres o conferencias pertinentes.

Con los limitados fondos comprometidos a la fecha para la fase piloto de los PT, ya se han abordado, aunque de forma parcial, un amplio espectro de productos de los programas temáticos, según lo estipulado en los documentos de los PT. Sin embargo, los fondos disponibles para su adjudicación en los programas CFME, REDDES y TFLET no son suficientes como para permitir el lanzamiento de otro llamado a propuestas. Se necesita más financiación para abordar completamente los productos previstos con el fin de lograr los objetivos programáticos y permitir a la OIMT lanzar el quinto programa temático aprobado sobre desarrollo y eficiencia industrial (IDE).

Table 1: TP approved projects

<b>TFLET pre-cursor approved projects</b>				
<b>Project ID</b>	<b>Submitted by</b>	<b>Title</b>	<b>ITTO Funding</b>	<b>Project Total</b>
PP-A/43-193	Under BWP 2008/2009	Enhance capacity of small and medium size enterprises to produce and trade timber from legally and sustainable source	\$ 300,000	\$ 300,000
PP-A/43-194	Under BWP 2008/2009	Promote trade in tropical timber and tropical timber products from sustainably managed and legally harvested sources	\$ 600,000	\$ 600,000
PP-A/43-200	Under BWP 2008/2009	Provide technical assistance for selected poor local/indigenous communities to formulate project proposals for submission to ITTO for management of forests and sustainable timber production	\$ 100,000	\$ 100,000
PP-A/43-202	Under BWP 2008/2009	Global assessment of the status of tropical forest tenure with identification of trends and implications for investment and management, and for convening an expert workshop on Tropical Forest Tenure and Business Models, including formulation of a project to assist Civil Society Organization(s) to set up and operate on a pilot basis a remote sensing system to detect illegal logging activities in areas known to be critically affected by such activities	\$ 200,000	\$ 200,000
PD 124/01 Rev.2 (M) Phase II – Stage 2	Africa	Promotion of Sustainable Management of African Forests (ITTO/ATO)	\$ 544,070	\$ 591,070
PPD 138/07 Rev.1 (M)	Peru	Verifying the Legality of Timber Forest Products in Peru	\$ 79,844	\$ 79,844
PD 449/07 Rev.2 (M,I)	PNG	Enhancing Forest Law Enforcement in Papua New Guinea	\$ 473,040	\$ 473,040
PD 493/07 Rev.1 (F)	Cambodia	Strengthening Capacity of Forest Law Enforcement and Governance (Cambodia)	\$ 561,195	\$ 561,195
<b>TFLET Spring Cycle 2009 approved projects</b>				
TFL-PPD 005/09 Rev.1 (F)	Thailand	Strengthening the capacity of local communities of Chaipayum Province (Thailand) to manage community forest	\$56,970	78,678
<b>TFLET Autumn Cycle 2009 approved projects</b>				
TFL-PPD 001/09 Rev.2 (M)	Colombia	Implementing and promoting sustainable forest management through formulation of an action plan for improved forest law enforcement and governance in Colombia	\$91,498	122,598
TFL-PD 003/09 Rev.2 (M)	Cameroon	Improving governance and transparency of timber harvests and trade in Cameroon	\$365,526	418,626
TFL-SPD 007/09 Rev.1 (M)	Ghana	Strengthening the capacity of small-medium enterprises in Ghana to produce and trade in timber products from legal and sustainable sources	\$163,039	180,704
TFL-PD 010/09 Rev.1 (M)	Indonesia	Strengthening the capacity of related stakeholders in Java on implementing new Indonesian TLAS	\$474,163	555,163
TFL-PD 014/09 Rev.1 (M)	Cameroon	Reduction of illegal logging through better governance of community forests in the Lom et Djerem Division, East region, Cameroon	\$302,562	355,562
<b>TFLET Spring Cycle 2010 approved projects</b>				
TFL-PD 017/09 Rev.2 (M)	China	Equipping small and medium sized forestry enterprises in China for procurement of tropical timber from legal and sustainably managed forests	\$322,056	\$505,036
TFL-PD 019/10 Rev.2 (M)	Indonesia	Developing collaborative management in the Cibodas Biosphere Reserve, West Java Indonesia	\$496,670	\$591,278
TFL-PPD 023/10 Rev.1 (F)	Germany	Development and implementation of a species identification and timber tracking system in Africa with DNA fingerprints and stable isotopes	\$175,742	\$198,242
TFL-PPD 024/10 Rev.2 (M)	Guatemala	Improvement of forest law enforcement at the national level to promote forest governance in Guatemala	\$563,339	\$804,419

REDDES Spring Cycle 2009 approved projects				
Project ID	Submitted by	Title	ITTO Funding	Project Total
RED-A 004/09 Rev.1 (F)	ITTO	Building a voluntary carbon marketing scheme to promote sustainable forest management	\$97,180	\$97,180
REDDES Autumn Cycle 2009 approved projects				
RED-PD 005/09 Rev.2 (F)	Guyana	Strengthening Guyana's capacity to manage forest resources and environmental services through resources assessment and monitoring changes in deforestation and degradation	\$400,680	\$540,880
RED-PPD 006/09 Rev.2 (F)	Guatemala	Local REDDES programme for development and addressing climate change in Guatemala: Building social processes for sustainability	\$82,080	\$177,660
RED-PD 007/09 Rev.2 (F)	Indonesia	Enhancing forest carbon stock to reduce emission from deforestation and degradation through sustainable forest management (SFM) initiatives in Indonesia	\$447,071	\$539,617
RED-SPD 009/09 Rev.2 (F)	Indonesia	Promoting the partnership efforts to reduce emission from deforestation and forest degradation of tropical peatland in south Sumatra through the enhancement of conservation and restoration activities	\$149,493	\$181,287
RED-PD 018/09 Rev.1 (F)	Peru	Sustainable forest management and utilization of ecosystem services in forests managed by the Ese'Eja naïve community in Infierno, Peru	\$356,519	\$523,319
RED-SPD 020/09 Rev.1 (F)	China	Development and demonstration on scheme of payment for environmental services (PES) derived from degraded and secondary tropical production forests in China.	\$149,040	\$203,040
RED-A 023/09 Rev.1 (F)	DRC	Technical Support for the Development of a National Forest Inventory in the Democratic Republic of Congo to assess carbon stocks and changes in carbon stocks of forest land	\$476,820	\$510,220
RED-PD 026/09 Rev.1 (F)	Ghana	Reducing emissions from deforestation and forest degradation through collaborative management with local communities	\$658,716	\$760,408
RED-PD 029/09 Rev.1 (F)	Brazil	Monitoring deforestation, logging and land use change in the Pan Amazonian Forest - PANAMAZON II	\$1,124,784	\$6,262,784

CFME Spring Cycle 2010 approved projects				
Project ID	Submitted by	Title	ITTO Funding	Project Total
CFM-PD 001/10 Rev.1 (F)	Indonesia	Strengthening capacity of stakeholders for the development of community-based plantation forest at 3 selected areas in Indonesia	\$465,151	\$553,711
CFM-PPD 005/10 Rev.1 (M)	Thailand	Increasing access to markets and capital for teak plantation smallholders in Thailand	\$31,104	\$53,904
CFM-PPD 006/10 Rev.1 (F)	PNG	Enabling customary landowners to participate effectively in CFM and REDD schemes within four pilot areas of PNG	\$122,040	\$156,040
CFM-SPD 007/10 Rev.1 (F)	Ghana	Enrichment of young forest plantations with selected NTFPs for livelihood improvement and support of forest fringe communities in Atwima Mponua District of Ghana, in order to secure and protect the resources on a sustainable forest management basis	\$149,229	\$290,079
CFM-PA 009/10 Rev.2 (F)	ITTO	International Conference on Forest Tenure, Governance and Small and Medium Forest Enterprises with Focus in the Asia Pacific	\$ 200,000	\$ 200,000

TMT Spring Cycle 2010 approved projects				
Project ID	Submitted by	Title	ITTO Funding	Project Total
TMT-SPD 002/10 Rev.1 (M)	ITTO	Improving resilience of the tropical timber sector to the impacts of global and regional economic and financial crises	\$150,000	\$150,000

**ANNEX 1: STATUS OF APPROVED ACTIVITIES, PRE-PROJECTS, SMALL PROJECTS AND PROJECTS**

**Enhance capacity of small and medium size enterprises to produce and trade timber from legally and sustainable source**

Project number:	Activity PP-A/43-193		
Project country:	Global		
Budget:	Total:	US\$ 300,000	
	ITTO Contributions:	US\$ 300,000	
Submitted by:	Included in ITTO Biennial Work Programme 2008-2009: Activity ref. 12		
Implementing agencies:	ITTO		
Duration:	24 months		
Financed at:	TFLET pre-cursor		

Summary:

Assist countries in developing initiatives that will enhance capacity of small and medium-sized enterprises to produce and trade timber from legal and sustainable sources with the aim of promoting progress towards sustainable forest management, verifiable legality and certification.

Progress:

- Review and updating of the procedures, guidelines and criteria for selecting civil society/private sector partnership proposals previously applied to include the new element of verifiable legality.
- Five (5) partnership proposals were received and duly assessed by the ITTO Secretariat. Subsequently, three (3) proposals were selected and approved by the Executive Director for funding and implementation as follows:

Tropical Forest Foundation (TFF)/PT Suka Jaya Makmur (PTSJM)[Indonesia]

29.05.2009 MOU governing the implementation of the proposal signed by ITTO and partnership.  
 05.06.2009 First installment of ITTO funds amounting to US\$45,000.00 disbursed to partnership.  
 20.12.2009 Mid-term progress report submitted to ITTO Secretariat.  
 06.01.2010 Second installment of ITTO funds amounting to US\$40,000.00 disbursed to partnership.

The Forest Trust (TFT)/Manejo Florestal e Pretação de Servicos Ltda (MAFLOPS)[Brazil]

25.09.2009 Proposal approved for funding and implementation by the Executive Director.  
 09.10.2009 MOU governing the implementation of the proposal signed by ITTO and partnership.  
 30.10.2009 First installment of ITTO funds amounting to US\$45,000.00 disbursed to partnership.  
 14.04.2010 Mid-term progress report submitted to ITTO Secretariat.

The Foundation for People and Community Development (FPCD)/Innovision (PNG) Ltd (IPNGL)[Papua New Guinea].

25.09.2009 Proposal approved for funding and implementation by the Executive Director.  
 07.10.2009 MOU governing the implementation of the proposal signed by ITTO and partnership.  
 14.10.2009 First installment of ITTO funds amounting to US\$45,000.00 disbursed to partnership.  
 25.04.2010 Mid-term progress report submitted to ITTO Secretariat.  
 30.04.2010 Second installment of ITTO funds amounting to US\$40,000.00 disbursed to partnership.

**Promote trade in tropical timber and tropical timber products from sustainably managed and legally harvested sources**

Project number:	Activity PP-A/43-194		
Project country:	Global		
Budget:	Total:	US\$ 600,000	
	ITTO Contributions:	US\$ 600,000	
Submitted by:	Included in ITTO Biennial Work Programme for 2008-2009, Activity ref. 13		
Implementing agencies:	ITTO		
Duration:	24 months		
Financed at:	TFLET pre-cursor		

**Summary:**

This activity is divided into two components namely (a) establishment and operation of a web-based information system and (b) development of timber tracking systems. It is included in the ITTO Biennial Work Programme 2008-2009 in a reverse sequence of the two components and a planned commencement of implementation during the second half of 2008. The objectives of the activity are to be achieved principally through the establishment and maintenance of a website containing relevant information on legally and sustainably produced timber and providing ITTO funding of approved proposals from private sector forest companies operating in ITTO producer and developing consumer member countries to develop innovative (paperless) timber tracking systems using commercially available hardware and software, building upon the experience gained from previous work on ITTO pilot schemes on the feasibility of adopting timber tracking systems under the ITTO Biennial Work Programme 2006-2007. The approved funding of US\$300,000.00 for component (b) of the activity at the Forty-third Session of the ITTC in November 2007 will enable ITTO to support six proposals, two for each of the three producing regions at a maximum amount of US\$44,247.00 for each approved proposal.

**Progress:**Component (a)

1. Conceptualization, determination of feasibility and cost-effectiveness of options to be finalized for implementation in 2009.
2. Invitations sent out in March 2009 to relevant NGOs to submit proposals for consideration by the ITTO Secretariat.
3. Selection of the proposal from The Forest Trust (TFT) by the Executive Director in May 2009.

**The Forest Trust**

- 18.06.2009 MOU governing the implementation of the proposal signed by TFT and ITTO.  
24.06.2009 First installment of ITTO funds amounting to US\$135,000.00 disbursed by TFT.  
08.09.2009 First progress report submitted by TFT to the Secretariat.  
19.02.2010 Submission of mid-term progress report by TFT to the Secretariat.  
12.05.2010 Submission of Interim Financial Report by TFT to the Secretariat.  
04.06.2010 Second installment of ITTO funds amounting to US\$110,000.00 disbursed to TFT.

Component (b)

4. Review and updating of procedures, guidelines and criteria for selecting proposals previously applied under the ITTO pilot schemes on the feasibility of adopting timber tracking systems.
5. Posting an invitation for the submission of proposals on innovative timber tracking systems to private sector forest companies operating in ITTO producer and developing consumer member countries on ITTO website on 23 October 2008. The invitation indicates a maximum amount of ITTO funding of US\$44,247.00 for each approved proposal and requests proposals not exceeding five pages containing the purpose and goals, methodology, intended outputs and impacts, key activities to be carried out,

duration, budget by activity and sources including counterpart funding if it exceeds US\$44,247.00 and workplan

6. Similar invitations have been disseminated to the ITTO official contact points in member countries on 27 October 2008 and through the Tropical Timber Market Report, the Tropical Forest Update, the ITTO Trade Advisory Group (TAG) and the ITTO Civil Society Advisory Group (CSAG)
7. As of 31 December 2009, eight (8) proposals were received and assessed by the ITTO Secretariat

Green Gold Forestry Ltd. (GGF)[Peru]

- 11.05.2009 MOU governing the implementation of the proposal signed by ITTO and company.
- 20.05.2009 First installment of ITTO funds amounting to US\$20,000.00 disbursed to company.
- 16.04.2010 Mid-term progress report submitted to ITTO Secretariat.
- 22.04.2010 Second installment of ITTO funds amounting to US\$20,000.00 disbursed to company.

P.T. Seng Fong Moulding Perkasa (PTSFMP)[Indonesia]

- 28.08.2009 MOU governing the implementation of the proposal signed by ITTO and company.
- 02.09.2009 First installment of ITTO funds amounting to US\$20,000.00 disbursed to company.
- 22.12.2009 Mid-term progress report submitted to ITTO Secretariat.
- 05.01.2010 Second installment of ITTO funds amounting to US\$20,000.00 disbursed to company.
- 06.04.2010 Final report submitted to ITTO Secretariat.

Demerara Timbers Limited (DTL)[Guyana]

- 28.06.2010 MOU governing the implementation of the proposal signed by ITTO and company.
- 01.07.2010 First installment of ITTO funds amounting to US\$20,000.00 disbursed to company.

Industries forestières de Batalimo (IFB)[Central African Republic]

- 08.03.2010 MOU governing the implementation of the proposal signed by ITTO and company.
- 11.03.2010 First installment of ITTO funds amounting to US\$20,000.00 disbursed to company.

Furniture Exports (PNG) Ltd. (FEL)[Papua New Guinea]

- 22.04.2010 MOU governing the implementation of the proposal signed by ITTO and company.
- 26.04.2010 First installment of ITTO funds amounting to US\$20,000.00 disbursed to company.

John Bitar & Co. Ltd. (JBCL)[Ghana]

- 06.05.2010 MOU governing the implementation of the proposal sent to the company.
- To-date Yet to receive signed MOU.

**Provide technical assistance for selected poor local/indigenous communities to formulate project proposals for submission to ITTO for management of forests and sustainable timber production**

Project number:	PP-A/43-200		
Project country:	Global		
Budget:	Total:	US\$ 100,000	
	ITTO Contributions:	US\$ 100,000	
Submitted by:	Included in ITTO Biennial Work Programme 2008-2009: Activity ref. 15 (a)		
Implementing agencies:	ITTO		
Duration:	12 months		
Financed at:	TFLET pre-cursor		

**Summary:**

This activity is included in the ITTO Biennial Work Programme 2008-2009 with a planned commencement of implementation during the first half of 2008.

The activity will provide a maximum of US\$20,000.00 to up to five communities to prepare, in collaboration with relevant national agencies, project proposals to improve forest management and the marketing of forest goods for submission to ITTO or other relevant funding bodies. As part of this activity, project proposals will be submitted to ITTO and other funding agencies by forest-dependent communities.

Consultants have been engaged in early 2009, in order to assist the forest-based communities to develop up to five project proposals.

**Progress:**

A consultant was engaged in the preparation of a pre-project proposal in Thailand, a small project proposal in Guatemala and a project proposal in Cameroon. The pre-project proposal in Thailand was submitted to the ITTO Secretariat and subsequently approved by the Executive Director for funding and implementation under the ITTO TFLET Thematic Programme. The small project proposal in Guatemala was submitted to the ITTO Secretariat and had been returned to the submitter for revision. The project proposal in Cameroon has not been submitted to the ITTO Secretariat for approval and funding under the ITTO TFLET Thematic Programme.

The Secretariat has yet to receive the submission of the revised small project proposal from Guatemala as well as the project proposal from Cameroon.



**Global assessment of the status of tropical forest tenure with identification of trends and implications for investment and management, and for convening an expert workshop on Tropical Forest Tenure and Business Models, including formulation of a project to assist Civil Society Organization(s) to set up and operate on a pilot basis a remote sensing system to detect illegal logging activities in areas known to be critically affected by such activities**

Project number:	PP-A/43-202	
Project country:	Global	
Budget:	Total:	US\$ 200,000
	ITTO Contributions:	US\$ 200,000
Submitted by:	Included in ITTO Biennial Work Programme 2008-2009: Activity 15c	
Implementing agencies:	ITTO	
Duration:	Activity under the 2008/2009 BWP	
Financed at:	TFLET pre-cursor	

Summary:

The task refers to Activity 15c of ITTO Biennial Work Programme 2008-2009.

Progress:

The workshop was successfully held in Yaoundé, Cameroon, on 25-29 May 2009 co-organized with various partners including the Government of Cameroon, Rights and Resources Initiative (RRI), FAO, IUCN, CIFOR, InterCooperation, the Global Alliance for Community Forestry and ICRAF. ITTO sponsored two participants from each of its member countries in Sub-Saharan Africa, being one from Government and one from Civil Society or Local Community Organizations.

The Conference results were presented during the 45<sup>th</sup> ITTC held in Yokohama in 9-14 November 2009.

The Government of Netherlands put as a condition for financing that “... *serious efforts are being made that as an outcome of the Conference one or more NGO's will be supported in a project to set up and operate on a pilot basis a remote sensing system to detect illegal logging activities in areas know to be critically affected by such activities*”. In this regard, an expert in remote sensing with previous experience in Africa was hired and supported interested civil society organization(s) in the region in the development of a project proposal to enhance their activities in forest law compliance in critical areas of West Africa.

The project proposal has been prepared and submitted by Ghana, Togo and Côte d'Ivoire to the ITTO 39<sup>th</sup> Expert Panel which convened in February 2010 for appraisal. After comments from the Expert Panel, the proposing countries submitted a revised proposal for assessment of the 41<sup>st</sup> EP on February 2011, and it will be open for funding thereafter.

The Activity can be considered as successfully completed.

**Promotion of Sustainable Management of African Forests (ITTO/ATO)**

Project number:	Pd 124/01 Rev.2 (M) Phase II Stage 2	
Project country:	Africa	
Budget:	Total:	US\$ 544,070
	ITTO Contributions:	US\$ 544,070
	ATO	US\$ 47,000
Submitted by:	ITTO/ATO	
Implementing agencies:	ITTO	
Duration:	24 months	
Financed at:	TFLET pre-cursor	

**Summary:**

This project is designed to assist ten ITTO African member countries (all of which are also members of the African Timber Organization - ATO) to implement the harmonized ITTO/ATO Principles, Criteria and Indicators (PCI) for the Sustainable Management of African Tropical Forests. The specific objectives of the Project are to establish key elements of adequate capacity to implement ATO/ITTO PCI at national level in the African member countries of ITTO and for effective regional-level cooperation through ATO to support individual Member countries to implement the ATO/ITTO PCI. It involves extensive capacity building in ten member countries and within ATO itself. The project has been divided into three phases, with the first and second phases split again into two stages each to facilitate funding.

**Progress:**

The project will be reported as completed to the Committee on Economic Information and Market Intelligence (Document CEM-CFI(XLIV)/2)

**Verifying the Legality of Timber Forest Products in Peru**

Project number:	PPD 138/07 Rev.1 (M)		
Project country:	Peru		
Budget:	Total:		US\$ 79,844
	ITTO Contributions:		US\$ 79,844
Submitted by:	Government of Peru		
Implementing agencies:	ITTO		
Duration:	9 months (planned)		
Financed at:	TFLET pre-cursor		

**Summary:**

This pre-project was approved and financed by the ITTC at its Forty-fourth Session in November 2008. The development objective of the pre-project is to contribute to the consolidation of the system of forest concessions for timber production purposes in Peru by establishing favourable conditions to ensure that the Peruvian products that reach the international market can demonstrate their legal origin from sustainably managed forests. The specific objective of the pre-project is to design and test a pilot system for the traceability of Peruvian export timber, while assessing the status of the export chain and identifying the requirements for the implementation of the traceability system as well as institutional and budgetary requirements for the voluntary implementation of the system by national companies, to be reflected in a project proposal.

**Progress:**

Progress in the implementation of the pre-project is as follows:

- 07.07.2009 Pre-project Agreement signed by ITTO and Executing Agency.
- 07.07.2009 ITTO funds amounting to US\$69,300.00 disbursed to Executing Agency.
- July 2009 Commencement of the implementation of the pre-project.
- Sep 2010 The implementation of the pre-project has resulted in the delivery of the report on a pilot scheme for timber traceability to guarantee sustainability and legality including a cost-benefit analysis of the scheme as well as a project proposal for the development of feasible timber traceability options in Peru. The project proposal has yet to be formally submitted through the ITTO contact point in Peru to the Secretariat and the implementing agency has been requested to submit the completion report and final financial audit of the pre-project to the Secretariat.

**Enhancing Forest Law Enforcement in Papua New Guinea**

Project number:	PD 449/07 Rev.2 (M,I)	
Project country:	Papua New Guinea	
Budget:	Total:	US\$ 473,040
	ITTO Contributions:	US\$ 473,040
Submitted by:	PNG	
Implementing agencies:	ITTO	
Duration:	24 months	
Financed at:	TFLET pre-cursor	

**Summary:**

This project was approved and financed by the Council at its Forty-third Session in November 2007. The development objective of this project is to further improve PNG's sustainable forest management regime by enhancing forest law enforcement and governance in the forestry sector. The specific objective is to enhance a forest law enforcement regime including products tracking and chain of custody verification systems, complemented by enhanced training and monitoring, to further support sustainable forest management in PNG's forestry sector.

**Progress:**

19.05.2008 Project agreement signed by ITTO and Executing Agency.  
16.12.2009 First installment of ITTO funds amounting to US\$103,000.00 disbursed to Executing Agency.  
Dec 2009 Commencement of project implementation.  
Sep 2010 Problems related to the replacement of project staff and consultants have delayed the implementation of the project. After the submission of a revised workplan and first Yearly Plan of Operation, the implementation of project activities commenced in August 2010.

**Strengthening Capacity of Forest Law Enforcement and Governance (Cambodia)**

Project number:	PD 493/07 Rev.1 (F)		
Project country:	Cambodia		
Budget:	Total:	US\$ 561,195	
	ITTO Contributions:	US\$ 561,195	
Submitted by:	Cambodia		
Implementing agencies:	ITTO		
Duration:	36 months		
Financed at:	TFLET pre-cursor		

**Summary:**

This project proposal is the output of PPD 128/06 Rev.1 (F) "Strengthening Capacity of Forest Law Enforcement and Governance in Cambodia" that has been completed in December 2007. In order to control illegal logging, forest clearing and encroachment in Cambodia, the pre-project recommended that appropriate facilities and equipment should be in place with well qualified trained staff in all Forestry Administration offices.

The project aims to facilitate the implementation of the Government's policy platform in combating illegal logging, forest clearing and land encroachment through the improvement of capacity of human resources and the upgrading of operational means/equipment to ensure effective Forest Law Enforcement and Governance (FLEG) in Cambodia. In addition to staff members of the Forestry Administration, selected local communities will be trained in how an effective FLEG operation can benefit the community by protecting their interests, promote legal forest activities and ensure their legal access to timber and non-timber forest products and services.

The expected outputs of the project are: improved facilities and staff capabilities, effective monitoring and documentation of illegal forest activities, effective judicial processing, and effective extension of measures to all stakeholders. These outputs will contribute directly to the on-going capacity building program of the Forestry Administration of Cambodia as a high priority.

**Progress:**

The project activities started in January 2009. Progress achieved in the implementation of the activity in the reporting period (September 2009-August 2010) includes:

- Developed a training program of four modules including fundamental laws involved in law enforcements (Forestry Law, Land Law, Code of Criminal Procedure, Protected Area Law and Other Laws and Regulations)
- Organized Training Course on Forest Law Enforcement on 28 September-14 October 2009 at the Forest and Wildlife Training Center, Phnom Penh, Cambodia with the participation of 31 staff members from the central and local governments. Another training course was conducted on 18 March- 31 March 2010 at the Forest and Wildlife Training Center. This was attended by 55 participants mainly from Forestry Administration Divisions.
- Improved the Cambodia Forest Crime Monitoring Case Tracking System which was developed as a database system under the Project UNTS/CMB/001/DFI in 2000. This system has been installed at the four project sites (Kompong Thom, Kratie, Pursat and Preah Sihanuk provinces). Almost 100 cases of forest crime cases were recorded in the system (01 January-31 July 2010)
- A pocket field guide book on "Forest Law Enforcement" has been prepared and is under finalization
- Organized three extension workshop on Forestry Law and Regulations to stakeholder in Kratie province (31 May 2010), Kompong Thom Province (28 June 2010) and Preah Sihanuk Province (26 July 2010). They were attended by 286 participants
- Produced four posters to increase public awareness of illegal logging. Titles of the posters include: "Stop destroying forests and participate in restoration and protection", and "Chainsaw is a killer in destroying

forests”, and “Wildlife hunting without permit is illegal and the offenders shall be subject to the fines and penalties as stated in Article 98 of the Forestry Law”.

**Strengthening the capacity of local communities of the Chaiyaphum Province (Thailand) to manage community forests**

Project number:	TFL-PPD 005/09 Rev.1 (F)	
Project country:	Thailand	
Budget:	Total:	US\$ 78,678
	ITTO Contributions:	US\$ 56,970
	Government of Thailand:	US\$ 21,708
Submitted by:	Government of Thailand	
Implementing agencies:	Bureau of Community Forest Management of the Royal Forest Department, Thailand	
Duration:	6 months	
Financed at:	TFLET Spring Cycle 2009	

**Summary:**

The pre-project will develop a project proposal aimed at enhancing forest law enforcement and governance and strengthening communities' capacities to use their forests for poverty alleviation in the Chaiyaphum Province. To ensure an adequate project design, the pre-project will collect necessary baseline information on community forestry and define clear strategies on how to solve problems inhibiting sound community forestry development. The development objective of the pre-project is to contribute to the sustainable development of rural communities of the Chaiyaphum Province through the promotion of law enforcement, good governance and sustainable management and use of community forests. The specific objective is to develop a comprehensive project proposal aimed at strengthening the capacity of communities of Chaiyaphum Province to sustainably manage their forests.

**Progress:**

There has been a substantial delay in concluding the agreement regulating the implementation of the pre-project due to internal procedures of the government of Thailand. It is expected that the pre-project agreement will be duly signed in November/December 2010.

**Implementing and promoting sustainable forest management through formulation of an action plan for improved forest law enforcement and governance in Colombia**

Project number:	TFL-PPD 001/09 Rev.2 (M)	
Project country:	Colombia	
Budget:	Total:	US\$ 122,598
	ITTO Contribution:	US\$ 91,498
	Government of Colombia:	US\$ 31,100
Submitted by:	Government of Colombia	
Implementing agency:	Asociación de Corporaciones Autónomas Regionales y de Desarrollo Sostenible (ASOCARS) [Association of Regional Autonomous Corporations and Sustainable Development]	
Duration:	12 months	
Financed at:	TFLET Autumn Cycle 2009	

Summary:

The pre-project will develop a full project proposal to specify and integrate the problems related to illegal logging as well as the weaknesses of the forest legislation and the forest management capacity of the relevant institutions, so as to facilitate the future formulation of a strategic action plan for the improvement of forest law enforcement and governance in Colombia.

The pre-project is specifically aimed at analyzing and evaluating policies, programs, management capacity and the national forest legislation and their compatibility with the policies and sectoral programs and standards of the agricultural and mining sectors, through a participatory process with relevant stakeholders. The output of the pre-project will be a sound project proposal developed in cooperation with forest sector stakeholders.

Progress:

The signing of the pre-project agreement governing the implementation of the pre-project was completed in September 2010. Subsequently, the memorandum of understanding (MOU) between the implementing agency and the Ministry of Environment, Colombia on the implementation of the pre-project was signed on 14 October 2010. The disbursement of the first installment of ITTO funds amounting to US\$60,000.00 is expected to be effected before the end of October 2010 to facilitate the commencement in the implementation of the pre-project.



**Improving governance and transparency of timber harvests and trade in Cameroon**

Project number:	TFL-PD 003/09 Rev.2 (M)	
Project country:	Cameroon	
Budget:	Total:	US\$ 418,626
	ITTO Contribution:	US\$ 365,526
	Traffic International:	US\$ 53,100
Submitted by:	Government of Cameroon	
Implementing agency:	Traffic International	
Duration:	24 months	
Financed at:	TFLET Autumn Cycle 2009	

**Summary:**

The project is designed to contribute to the improvement of governance and transparency of timber harvest and traded in Cameroon and internationally by strengthening the national process for controlling illegal logging and associated trade in Cameroon in order to contribute to transparency of timber trade in Cameroon with a view to address the problems of unsustainable timber harvesting, weak forest law enforcement and illegal trade of the country's timber.

**Progress:**

The signing of the project agreement governing the implementation of the project was completed on 22 March 2010. Consultations between the implementing agency (Traffic International) and its collaborating counterpart in Cameroon, (MINFOF) have been carried out and the draft project inception report including a detailed work plan have been submitted to the ITTO Secretariat in early October 2010. The implementing agency is currently revising the project inception report and the detailed work plan following the comments and suggestions provided by the ITTO Secretariat. The implementation of the project is expected to commence shortly.

**Strengthening the capacity of small-medium enterprises in Ghana to produce and trade in timber products from legal and sustainable sources**

Project number:	TFL-SPD 007/09 Rev.1 (M)	
Project country:	Ghana	
Budget:	Total:	US\$ 180,704
	ITTO Contribution:	US\$ 163,039
	Government of Ghana:	US\$ 17,665
Submitted by:	Government of Ghana	
Implementing agency:	Kumasi Wood Cluster Association (KWC)	
Duration:	24 months	
Financed at:	TFLET Autumn Cycle 2009	

**Summary:**

The project will address the weak capacity of Small Medium Forest Enterprises in forest law enforcement and governance in Ghana. The main objective is to strengthen the capacity of SMFEs to produce and trade in legal timber through the development and implementation of internal wood control system (IWCS) consistent with legal and sustainable timber trade requirements. At the completion of the project, SMFEs will demonstrate understanding of the various standards covering Chain of Custody and wood tracking by documenting and implementing procedures to comply with and monitor compliance with legal timber trade requirements enabling an appreciable number of them to begin efforts towards CoC certification. Activities and approaches include training selected SMFEs on proper record capturing and storage practices, information management, how to use and implement IWCS; assisting SMFEs to set up their own IWCS and liaising with stakeholder institutions to audit SMFEs interested in certification. In order to ensure the sustainability of the project, SMFEs will be encouraged to join the Kumasi Wood Cluster Association (KWC) or form similar network(s) or association(s) to encourage and promote trade in legal and sustainable timber.

**Progress:**

The signing of the project agreement governing the implementation of the project was completed on 5 April 2010. The project inception report including the detailed work plan had been submitted to and approved by the ITTO Secretariat and the disbursement of the first installment of ITTO funds amounting to US\$70,000.00 to the implementing agency (KWC) was effected on 8 July 2010, marking the commencement in the implementation of the project. Following the selection and appointment of the project team and consultants, the implementation of a number of project activities has begun. The first meeting of the project technical committee (PTC) and project monitoring mission were conducted in Kumasi, Ghana on 21 September 2010. The meeting noted that the implementation of the project was on track and on schedule.

**Strengthening the capacity of related stakeholders in Java on implementing new Indonesian Timber Legality Assurance System (TLAS)**

Project number:	TFL-PD 010/09 Rev.1 (M)	
Project country:	Indonesia	
Budget:	Total:	US\$ 555,163
	ITTO Contribution:	US\$ 474,163
	Government of Indonesia:	US\$ 81,000
Submitted by:	Government of Indonesia	
Implementing agency:	Secretariat Directorate General of Forest Production	
Duration:	24 months	
Financed at:	TFLET Autumn Cycle 2009	

**Summary:**

The Timber Legality and Verification System (*Sistim Verifikasi Legalitas Kayu - SVLK*) was developed to provide legal assurance on timber products from Indonesia. In June 2009 the Indonesian Ministry of Forestry issued a ministerial decree on sustainable forest management and timber legality verification through the Minister of Forestry decree No. P.38/Menhut-II/2009 concerning Standard and Guidelines on Assessment and Performance of Sustainable Production Forest Management and Verification of Timber Legality which applies to state and private forests. Additionally, with regard to the standard, an assessment guideline is stipulated under a decree of the Director General of Production Forest Management No. P.6/VI-Set/2009. The effective implementation of the regulation requires capacity building of relevant stakeholders and organizations, in particular for the owners of community forests. The project will focus on the dissemination of information on the new TLAS standard to communities and relevant stakeholders through workshops. It will support the establishment of institutions for independent monitoring of TLAS for timber resources from community forests and community plantation forests, conduct a series of training events to introduce and implement the standard to license holders of community forests and community plantation forest as well as local government officers and representatives of small/medium wood processing industries.

**Progress:**

The signing of the project agreement governing the implementation of the project was completed on 14 April 2010. The project inception report including the Yearly Plan of Operation (YPO) 1 had been submitted to and approved by the ITTO Secretariat and the disbursement of the first installment of ITTO funds amounting to US\$100,000.00 to the implementing agency was effected on 8 July 2010, marking the commencement in the implementation of the project. Following the selection and appointment of the project team and consultants, the implementation of initial project activities has begun in accordance with YPO 1.

**Reduction of illegal logging through better governance of community forests in the Lom et Djerem Division, East region, Cameroon**

Project number:	TFL-PD 014/09 Rev.1 (M)	
Project country:	Cameroon	
Budget:	Total:	US\$ 355,062
	ITTO Contribution:	US\$ 302,562
	Government of Cameroon:	US\$ 52,500
Submitted by:	Government of Cameroon	
Implementing agency:	Forestry Department, Ministry of Forestry and Wildlife (MINFOF)	
Duration:	24 months	
Financed at:	TFLET Autumn Cycle 2009	

**Summary:**

This project seeks to reduce illegal logging and poverty in the Lom and Djerem Division through better governance and development of community forestry. The specific objectives include to sufficiently satisfy the demand of wood in the north and neighboring countries through better organization of timber markets and promotion of the creation and implementation of legal timber titles; and to reduce poverty at the community level through good governance in managing existing community forest and micro-development projects in the Lom and Djerem Division. The expected outputs are:

- 1) to assist 6 communities in obtaining and managing community forests;
- 2) to sensitize the Lom and Djerem councils on the creation of council forests;
- 3) to supply the Northern markets with sawmill residues;
- 4) to better understand and disseminate the timber market chain from Lom and Djerem to the Northern regions;
- 5) to put in place a wood tracking system from the Koundi community forest;
- 6) to reinforce the capacities of members of the Koundi community in increasing and better managing revenues from their forest; and
- 7) to sensitize all actors on legal wood trade; and
- 8) to install and have a functional Coordination Unit in place

**Progress:**

The signing of the project agreement governing the implementation of the project was completed on 22 March 2010. Consultations between the implementing agency, (MINFOF) and its collaborating agencies, FORUM VERT and TTRECED (NGOs) have been conducted. The memorandum of understanding (MOU) between the implementing agency and the collaborating agencies as well as the curriculum vitae of the project team and the detailed information on bank account for the project have been submitted to the ITTO Secretariat in August 2010. The implementing agency is preparing the project inception report including the detailed work plan to be submitted to the ITTO Secretariat for its consideration and approval. The implementation of the project is expected to commence shortly.

**Equipping small and medium sized forestry enterprises in China for procurement of tropical timber from legal and sustainably managed forests**

Project number:	TFL-PD 017/09 Rev.2 (M)	
Project country:	China	
Budget:	Total:	US\$ 505,036
	ITTO Contribution:	US\$ 322.056
	Government of China:	US\$ 112.820
	Industry Association (China):	US\$ 70.160
Submitted by:	Government of China	
Implementing agency:	Institute of Forestry Policy and Information, Chinese Academy of Forestry (CAF)	
Duration:	36 months	
Financed at:	TFLET Spring Cycle 2010	

**Summary:**

Small and medium sized wood processing enterprises (SMFEs) in China play a vital role in the domestic and international markets for processing wood products as they account for around 90% of the total output value of China's timber enterprises. The project will provide the means for SMFEs to become equipped for procurement of timber from legal and sustainably managed tropical forests. SMFEs in Zhangjiagang in the Jiangsu Province, Huzhou and Jiashan in the Zhejiang province and in Shanghai will be surveyed to assess the status of their procurement management. Training and advice will be delivered to enterprises along with information (via a technical bulletin) on marketing, trade, procurement and corporate social responsibility. Policy suggestions will be made and selected SMFEs will be guided through COC certification. A web-based platform for better communication between SMFEs, government and other stakeholders will be established.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Developing collaborative management in the Cibodas Biosphere Reserve, West Java Indonesia**

Project number:	TFL-PD 019/10 Rev.2 (M)	
Project country:	Indonesia	
Budget:	Total:	US\$ 591,278
	ITTO Contribution:	US\$ 496,670
	Government of Indonesia:	US\$ 94,608
Submitted by:	Government of Indonesia	
Implementing agency:	Balai Besar Taman Nasional Gunung Gede Pangrango Mountain Gede Pangrango National Park, Directorate General Forest Protection and Natural Conservation, Ministry of Forestry	
Duration:	24 months	
Financed at:	TFLET Spring Cycle 2010	

**Summary:**

The project will support the implementation of a collaborative management activity as the key tool for the effective management of the Cibodas Biosphere Reserve. The specific objective of the project is to strengthen forest law enforcement and governance, conservation, and the sustainable use of biodiversity and environmental services rendered by the Cibodas Biosphere Reserve. The expected outputs of the project are: 1) increased stakeholders commitment to the effective management of the Cibodas Biosphere Reserve; 2) Development of an integrated management plan for the Cibodas Biosphere Reserve; and 3) Enhanced community awareness on the conservation and sustainable use of biodiversity and environmental services, and on forest law enforcement and governance.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Pre-project to develop a proposal on ‘Development and implementation of a species identification and timber tracking system in Africa with DNA fingerprints and stable isotopes’**

Project number:	TFL-PPD 023/10 Rev.1 (F)	
Project country:	Selected Central African countries	
Budget:	Total:	US\$ 198,242
	ITTO Contribution:	US\$ 175,742
	vTI:	US\$ 22,500
Submitted by:	Government of Germany	
Implementing agency:	Johann Heinrich von Thünen Institute (vTI), Federal Research Institute for Rural Areas, Forestry and Fisheries	
Duration:	7 months	
Financed at:	TFLET Spring Cycle 2010	

**Summary:**

Based on experiences from pilot-studies in Cameroon and Latin-America the pre-project will develop a full project proposal on “Development and implementation of a species identification and timber tracking system in Africa with DNA fingerprints and stable isotopes” with regional focus on the timber producing countries Cameroon, Central African Republic, Congo Dem. Rep, Congo Rep. and Gabon, Ghana, and Kenya as an important timber transit country. The pre-project will (a) define the role and contribution of collaborating agencies (b) seek support and agreements with the governments of African countries involved in the project; (c) draw conclusions from former pilot studies and to define the technical work plan, (d) identify the stakeholders and define their roles in the full project; and (f) seek additional financial support for the full project. Expected outputs of the full project to be developed include (a) a timber tracking system with DNA and stable isotopes working for 5 important timber species in Africa, (b) reference databases on genetic and isotopic spatial patterns ready for control uses, and (c) facilities for DNA-fingerprinting and stable isotopes with trained staff in timber producer and timber consumer countries.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Improvement of forest law enforcement at the national level to promote forest governance in Guatemala**

Project number:	TFL-PPD 024/10 Rev.2 (M)	
Project country:	Guatemala	
Budget:	Total:	US\$ 804.419
	ITTO Contribution:	US\$ 563.339
	Government of Guatemala:	US\$ 200.880
	IUCN:	US\$ 40.200
Submitted by:	Government of Guatemala	
Implementing agency:	National Institute of Forests (INAB)	
Duration:	36 months	
Financed at:	TFLET Spring Cycle 2010	

**Summary:**

The project will improve the effective implementation of sustainable forest management plans in Guatemala, through strengthening the capacity of forest institutions to enforce the forest management legislation and relevant regulations, as well as through the provision of better services and instruments for the promotion of sustainable forest management. It will strengthen the capacity of INAB to control forest law enforcement through improvement of observation systems and inter-institutional coordination at the national, regional and local levels. The project will increase the involvement of civil society, institutions and local governments in the implementation of activities aimed at strengthening actions to reduce illegal activities and ensure compliance with current forest laws and regulations, among other activities focused on improving forest governance.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)



**REDDDES**

**Building a Voluntary Carbon Marketing Scheme to Promote Sustainable Forest Management**

Project number:	RED-A 004/09 Rev.1 (F)	
Project country:	Global	
Budget:	Total:	US\$ 97,180
	ITTO Contribution:	US\$ 97,180
Submitted by:	ITTO Secretariat	
Implementing agencies:	ITTO Secretariat	
Duration:	9 months	
Financed at:	REDDDES Spring Cycle 2009	

**Summary:**

The Activity will develop a feasibility study for the establishment of a mechanism in ITTO to capture funds from private sector and other potential contributors, to fund voluntary forest related carbon offsetting schemes, including REDD and PES projects. The Activity shall also generate a design and strategy for the implementation of the proposed scheme.

**Progress:**

The activity has been implemented through the service provider INDUFOR OY of Finland. Indufor developed a feasibility study for private sector involvement in REDD+ projects. The feasibility study covers the establishment of a mechanism in ITTO to capture funds from private sector and individuals to fund voluntary forest climate projects with the potential to generate emission reductions and other environmental services. It will also generate a design and strategy for the implementation of the proposed scheme.

**Reviews carried out by the study include:**

- ITTO legal structure, mandate, mechanisms and existing financing channels
- Major studies conducted on voluntary carbon markets, especially related to forestry
- Current carbon financing mechanisms such as World Bank Carbon Funds and the United Nations REDD program (UN-REDD)
- Examples of existing PES schemes combined with carbon projects and institutions involved
- Carbon standards relevant to REDD projects and their criteria
- Private sector companies that might have interest to engage in the promotion of forest carbon and PES projects through an ITTO mechanism

Interviews were carried out with ITTO staff and key Japanese stakeholders, including the Japanese Forest Agency, the Japanese International Cooperation Agency (JICA), the Japan Bank for International Cooperation (JBIC) and private sector companies in Europe and Japan.

Based on the research and interviews conducted, a first report has been produced. The report has been reviewed and circulated within ITTO for comments. A second, revised and enlarged version of the feasibility study has been submitted by Indufor in May 2010. The study provided detailed background information on possibilities to attract and involve private sector investment in REDD+ projects and initiatives in the tropics. It provides guidance on the topic for ITTO, member countries and partners. The report will be presented to the 46th ITTC in December 2010. It provides guidance for ITTO to promote private sector involvement in REDD+ development, in ITTO producer member countries.

**Strengthening Guyana’s capacity to manage forest resources and environmental services through resources assessment and monitoring changes in deforestation and degradation**

Project number:	RED-PD 005/09 Rev.2 (F)	
Project country:	Guyana	
Budget:	Total:	US\$ 540,880
	ITTO Contribution:	US\$ 400,680
	Government of Guyana:	US\$ 140,200
Submitted by:	Government of Guyana	
Implementing agency:	Guyana Forestry Commission (GFC)	
Duration	12 months	
Financed at:	REDDES Autumn Cycle 2009	

Summary:

This project seeks to address two main areas: conducting, at a demonstration level, an assessment of forest resources and environmental services including the completion of a feasibility study of targeting remuneration systems for environmental services; and secondly, by supporting sustainable forest management and resources utilization at the community level to maintain forest resources and prevent deforestation and degradation. The outcome of the project will be the strengthening of Guyana’s capacity to manage forest resources and environmental services through resources assessment and monitoring changes in deforestation and degradation. This will allow for planning and management of these resources to be significantly enhanced as will management of deforestation and forest degradation. Also, capacity building exercise will see improved management of forest and environmental resources by target communities and stronger compliance with sustainable forest management practices. These immediate benefits of these will be enhanced ability at the national and community level to manage forest and environmental services in Guyana.

Progress:

The Project Agreement regulating the implementation of the project was duly signed on 5 April 2010. The Project Inception Report and the Detailed Work Programme have been received and approved by the ITTO Secretariat and the first disbursement of funds was transferred to the Executing Agency on 30 August 2010. Project staff and consultants have been selected by the EA and project activities have commenced in September 2010. Progress includes:

- The Remote Sensing and GIS Consultant and the Environmental Services Specialist, as approved by ITTO following GFC’s request for no objection, were contracted. Initial activities have commenced under both consultancies. Under the Remote Sensing and GIS consultancy, discussions have commenced on the framework to be used for the forest resources assessment and preferred variables for both data inputs and results that the model will generate. The lead consultant is working with the Guyana Forestry Commission in examining existing forest inventory data, mapping information along with some other data, in an effort to build on existing data and capacities that currently exist within the GFC. For the Environmental Services Specialist, an initial meeting was held, at which the consultants formulated the initial list of criteria for identifying the feasibility of various environmental services in Guyana’s context. This, along with international literature in this area, will form the basis of assessments to be completed as the immediate next step;
- New remote sensing images are in the process of being procured and imagery analysis of selected forest areas is being conducted on those at hand;
- Existing forest inventory data is currently being assessed to evaluate forest and environmental services and ground truthing and aerial surveys are being planned to verify the results of remote sensing and forest inventory data;
- Images for identification of areas for hotspot monitoring and to further assess compliance with legality and deforestation and degradation are also currently being selected and procured;
- Available market mechanisms, incentives programmes and remuneration systems for environmental services have begun to be assessed; and
- The requirements that are necessary to access identified markets and remuneration systems are currently being evaluated.

**Local REDDES programme for development and addressing climate change in Guatemala: Building social processes for sustainability**

Project number:	RED-PPD 006/09 Rev.2 (F)	
Project country:	Guatemala	
Budget:	Total:	US\$ 177,660
	ITTO Contribution:	US\$ 82,080
	Government of Guatemala	US\$ 95,580
Submitted by:	Government of Guatemala	
Implementing agencies:	Foundation of Integrated Development of Men and Environment, CALMECAC	
Duration:	12 months	
Financed at:	REDDES Autumn Cycle 2009	

**Summary:**

In order to reduce deforestation and forest degradation, INAB and Calmecac are seeking to develop sustainable forest management and establish financial mechanisms derived from the management and conservation of resources and environmental services in 16 municipalities of the Departments of Huehuetenango and Quiché, while at the same time providing a climate change adaptation mechanism. Thus, this project is aimed at consolidating information and agreements with local communities on the management of natural resources, while generating quantitative and qualitative data on forest resources and environmental services potential in the area. Given the importance of the participation of local social stakeholders and the need to raise awareness on the significance of forests and climate change impacts on the implementation of a REDD project, the establishment of a local stakeholder network is also envisaged to create effective social processes geared to poverty and vulnerability reduction. This pre-project links and creates social dynamics between different sectors based on new models of participatory management of natural resources and sharing of benefits at the local level.

**Progress:**

The Pre-project Agreement regulating the implementation of the project was duly signed on 5 April 2010. The Pre-project Inception Report and the Detailed Work Programme have been received and approved by the ITTO Secretariat and the first disbursement of funds was transferred to the Executing Agency on 16 September 2010. Progress in pre-project implementation can be summarized as follows:

- Pre-project staff and consultants have been selected and hired by the EA;
- Both office and field equipment required are being procured;
- Project data has been uploaded onto the ITTO Online Monitoring System;
- Two meetings have been held with the communities on the Northern Border so as to share information regarding the forestry work carried out there over the last two years;
- Two meetings have been held with local mayors to phantom the interest of the municipalities in the management of their natural resources;
- Information exchanges have been carried out as regards the forest management activities carried out by the communities of Quiche and Huehuetenango; and
- Maps and other cartographic information are currently being compiled in the region.

**Enhancing Forest Carbon Stock to Reduce Emission from Degradation through Sustainable Forest Management (SFM) Initiatives in Indonesia**

Project number:	RED-PD 007/09 Rev.2 (F)	
Project country:	Indonesia	
Budget:	Total:	US\$ 539,616
	ITTO Contribution:	US\$ 447,071
	Government of Indonesia:	US\$ 92,545
Submitted by:	Government of Indonesia	
Implementing agencies:	Director General of Forest Production Development & Directorate of Planning Development for Forest Utilization, Ministry of Forestry of Indonesia	
Duration:	24 months	
Financed at:	REDDDES Autumn Cycle 2009	

**Summary:**

The project will promote SFM as an important option for forest based climate change mitigation to reduce emission from and by tropical forest. As a first priority it will focus on initiating multi-stakeholder processes for development of a national strategy to maintain and increase forest carbon stock through implementation of SFM. The expected outputs of the project are:(1) Information on SFM forest based carbon, C stock, CO2 sequestration, and green products; (2) Supporting infrastructure and mechanism to bring additional incentives in implementing SFM as important option in reducing emission from deforestation and forest degradation assessed; (3) Outreach activities to promote public awareness among relevant stakeholders on SFM initiatives in enhancing forest based carbon stock, sequestration, and carbon storage in green products in order to reduce GHG CO2 developed. After project completion it is expected that the strategy will be institutionalized. The successful implementation of the project will generate awareness among local people and other related stakeholders to participate in managing forestland in a sustainable way. Local government, communities and private sector will get incentives from the ongoing effort in implementing conservation and forest management in reducing emission from deforestation and degradation.

**Progress:**

The Project Agreement regulating the implementation of the project was duly signed on 28 May 2010. The first installment of funds was sent on 11 August 2010 following receipt of the project inception report and approval of the project coordinator. No objection letters have been issued for relevant ITTO-supported project staff and the first project steering committee will be convened in November 2010.

**Promoting the partnership efforts to reduce emission from deforestation and forest degradation of tropical peatland in south Sumatra through the enhancement of conservation and restoration activities**

Project number:	RED-SPD 009/09 Rev.2 (F)	
Project country:	Indonesia	
Budget:	Total:	US\$ 181,287
	ITTO Contribution:	US\$ 149,493
	Government of Indonesia:	US\$ 31,794
Submitted by:	Government of Indonesia	
Implementing agency:	Regional Research Center of South Sumatra	
Duration:	24 months	
Financed at:	REDDES Autumn Cycle 2009	

Summary

The project is intended to enhance sustainable management and conservation of tropical PSF to reduce emissions and to increase carbon stocking through the involvement of stakeholders and local community in the conservation and rehabilitation of degraded PSF in South Sumatra. It is part of Reduced Emission from Deforestation and forest Degradation (REDD)-Indonesia, on the context of PSF. There are two major issues addressed in the project:

- 1) resolving the conflict of interest between authorities in the management of PSF and
- 2) reducing the emission from deforestation and forest degradation through the promotion of conservation and restoration of PSF ecosystem.

Five options for strategic management intervention specific to peatland, under REDO in Indonesia (Indonesia Forum on Climate Change Alliance-IFCA, Consolidation report 2008) will also be addressed, namely (1) Regulate and restore water table, (2) Prevent peatland from fire, (3) Build coordination and consistency across government jurisdiction and sectors to control cross boundary impacts of fire by controlling its sources and spread, (4) Regulate further conversion of peatlands and revise and reinforce rules for management of existing peatlands plantation sites and (5) Implement land swaps where possible to retain high carbon value forest and peat while allocating alternative land for new plantation. The project intervention will put the priority on the third and fourth option for strategic management intervention through awareness raising, capacity building and coordination and field implementation of restoring ecosystem function in the degraded peatland through plantation. Local-indigenous species, which have been identified earlier, will be used in this restoration of ecosystem.

Progress:

The Project Agreement regulating the implementation of the project was duly signed in May 2010. The Implementing Agency is in the process of finalizing necessary administrative arrangements for the implementation of the project. It is expected that the project will begin its activities in September 2010.

**Sustainable forest management and utilization of ecosystem services in forests managed by the Ese'Eja native community in Infierno, Peru**

Project number:	RED-PD 018/09 Rev.1 (F)	
Project country:	Peru	
Budget:	Total:	US\$ 523,319
	ITTO Contribution:	US\$ 356,519
	Government of Peru	US\$ 166,800
Submitted by:	Government of Peru	
Implementing agencies:	Association for Investigation and Development, AIDER	
Duration:	36 months	
Financed at:	REDDES Autumn Cycle 2009	

**Summary:**

The project is aimed at strengthening the management of forests over which the community has acquired utilization rights, so as to penetrate the emerging ecosystem services markets and thus generate additional income to allow the community to obtain resources for the adequate management of their territory and ecotourism concession. It will be implemented in the Ese'Eja native community of Infierno where a forest concession was granted by the Peruvian Government to the community for ecotourism purposes in areas located in the Madre de Dios region (Peru), within the buffer zone of the Tambopata National Reserve and the Bahuaje Sonene National Park. Community capacities will be developed for the planning and establishment of agreements approved by all community members for the use of forest resources and services, thus establishing the basis for the development of a project for the reduction of emissions from deforestation and degradation (REDD). Recognizing that well-defined utilization and marketing rights are a vital prerequisite for access to these markets, the project will facilitate the formalization of rights to trade ecosystem services and to resolve existing land tenure conflicts in the concession area. These objectives will be met with support from the Peruvian government, which will allow the community to progress towards sustainable development.

**Progress**

The Project Agreement regulating the implementation of the project was duly signed on 8 June 2010. The Project Inception Report and the Detailed Work Programme have been received and approved by the ITTO Secretariat and the first disbursement of funds was transferred to the Executing Agency on 13 September 2010. Project activities officially commenced on 15 September 2010. Progress includes:

- On September 28, 2010 the project organized an open meeting in the Ese'Eja native community at Infierno and invited all stakeholders, including local authorities, community leaders, teachers and others, to participate in the launching of the project. Within this framework, some arrangements for the implementation of the project were agreed to in a participatory manner, such as the confirmation of a community delegation in charge of the coordination with the project's technical personnel;
- The consultant hired to carry out the socio-economic evaluation of the community has initiated his study and is currently gathering and analyzing secondary information about the community, as well as holding meetings with the communal authorities and leaders so as to obtain base information to design the first participatory workshop, as programmed in the project's current Yearly Plan of Operations;
- An area has been designated at the community to function as the project's office and is currently being furnished; and
- The project's team is carrying out reconnaissance visits within the community's territory with a view towards obtaining relevant information to utilize as the basis for the elaboration of a strategy for the management of their communal forests.

**Development and demonstration on scheme of payment for environmental services (PES) derived from degraded and secondary tropical production forests in China**

Project number:	RED-SPD 020/09 Rev.1 (F)		
Project country:	People's Republic of China		
Budget:	Total:		US\$ 203,040
	ITTO Contribution:		US\$ 149,040
	Government of China:		US\$ 54,000
Submitted by:	Government of the People's Republic of China		
Implementing agencies:	Institute of Forest Resource Information Technique, Chinese Academy of Forestry (CAF)		
Duration:	24 months		
Financed at:	REDDES Autumn Cycle 2009		

**Summary:**

The project attempts to reduce deforestation and forest degradation, enhance environmental services and help improve forest dependent livelihoods in the tropics of China. The Lingshui Li Autonomous County of the Hainan Province has been selected as project area and one typical forest-dependent community (village) will be chosen in the demonstration area. The project will develop an assessment report on environmental services derived from degraded and secondary tropical production forests in the selected area. A scheme of PES derived from degraded and secondary tropical production forests in demonstration areas based on community and other stakeholders' participation will be discussed, consulted, optimized, identified and implemented. Policy suggestions on the scheme of PES will be developed and submitted to local governments.

**Progress:**

The Project Agreement regulating the implementation of the project was duly signed in March 2010. The first installment of project funds was transferred from ITTO to the Executing Agency in May 2010 after the receipt of the first Yearly Plan of Operation (YPO).

A review of relevant literature and cases on PES derived from production and protection forests has been conducted. In addition, Chinese schemes of Forest Ecological Benefit Compensation Funds in non-commercial forests operated by the central and local governments have been reviewed. Dagan village in the town of Qunying, Lingshui Li autonomous county, South China has been chosen as the demonstration site. The collection of baseline information and data for socioeconomic and environmental aspects of the demonstration area is underway.

**Technical Support for the National Forest Inventory Development in the Democratic Republic of Congo for the Evaluation of the Carbon Stock Changes in Forest Lands**

Project number:	RED-A 023/09 Rev.1 (F)	
Project country:	Democratic Republic of Congo	
Budget:	Total:	US\$ 510,220
	ITTO Contribution:	US\$ 476,820
	Government of D.R. Congo:	US\$ 33,400
Submitted by:	Government of D.R. Congo	
Implementing agency:	Directorate of Forest Inventory and Planning (DIAF)	
Duration:	12 months	
Financed at:	REDDES Autumn Cycle 2009	

**Summary:**

The project will operate as support to the UN-REDD Programme in the DRC and complement the work of FAO in this exercise by ensuring that technical and logistical capacity exists in the DRC to carry out this activity. The objective of this Activity is to lay the strong foundations required for supporting a carbon inventory on national forest land in the DRC by providing national institutions:

- Qualified personnel to undertake field measurements and manage the information generated;
- Office equipment (e.g., laboratory with computers and printers) and field operations equipment.

The main beneficiaries of the activity are the DRC government and more specifically the Directorate of Forest Inventory and Planning (DIAF) and the Directorate for Sustainable Development (DDD) working within the Ministry of Environment, Tourism and Nature Conservation (MECNT) and the University of Kisangani (UNIKIS). Eventually the three institutions will have developed a productive working relationship. DIAF will have trained personnel to do field measurements and DDD will be staffed by trained personnel to manage the information generated through its Environmental Services Division and Carbon Stocks Management Bureau. UNIKIS will have developed expertise in training personnel for the measurement of ground-level carbon

**Progress:**

The Project Agreement regulating the Activity implementation was duly signed on 19 March 2010. The project inception report (required for the release of the first installment of project funds) and related first Yearly Plan of Operation were submitted on 28 April 2010 and approved. The activity began implementation with the disbursement of the first installment of TP funds on 13 May 2010

A preparatory workshop was held from 08 - 12 July 2010, in Kinshasa (D. R. Congo), for representatives from relevant institutions involved in the Activity implementation including ITTO, FAO (UN-REDD), Forest Carbon partnership Fund (FCPF), Direction des Inventaires et Aménagements Forestiers (DIAF) for the Ministry in charge of forests in DR Congo, University of Kinshasa (UNIKIN), University of Kisangani (UNIKIS), WCS and WWF. The preparatory workshop aimed at the following issues: development of a roadmap document for the training courses and practices, procedures for identification and selection of the key personnel of involved institutions to be trained on MRV techniques, and logistics, technical and financial aspects regarding the MRV training sessions in relation to forest carbon stock inventory and greenhouse gas emissions inventory.

The first monitoring mission (Activity Steering Committee meeting and field visit) is scheduled for November 2010.



**Reducing Emissions from Deforestation and forest Degradation through Collaborative Management with Local Communities (Ghana)**

Project number:	RED-PD 026/09 Rev.1 (F)	
Project country:	Ghana	
Budget:	Total:	US\$ 760,408
	ITTO Contribution:	US\$ 658,716
	Government of Ghana	US\$ 101,692
Submitted by:	Government of Ghana	
Implementing agencies:	Forestry Research Institute of Ghana (FORIG)	
Duration:	48 months	
Financed at:	REDDES Autumn Cycle 2009	

**Summary:**

The project aims to contribute to sustainable management and conservation of Ankasa Conservation area to improve the provision of environmental services and reduce greenhouse gas (GHG) emissions. The Ankasa Conservation area incorporates the Nini-Suhien National Park and the Ankasa Resource Reserve and is considered as the most biologically diverse forest ecosystem in Ghana. The project specifically intends to develop and implement participatory, good governance and management system for the Ankasa conservation area, determine the financial value of the environmental services as well as methods for measurement, assessment reporting and verification (MARV) for forest carbon. The project will be participatory and thus will build on a high level community involvement and capacity building.

**Progress:**

The Project Agreement regulating the implementation of the project was duly signed on 05 March 2010. An Inception Report and related first Yearly Plan of Operation were submitted on 15 April 2010 and approved. The activity began implementation with the disbursement of the first installment of TP funds on 20 April 2010.

The inception workshop was held on 10 -12 May 2010, in Kumasi (Ghana), for sixty (60) representatives of the following nine (9) communities involved in the project implementation: Amokwaw, Fia, Ghana-Nungua-Cocoatown, Tweakor Navrongo, Aiyinasi-Ayawora, Ohiamadwen-Fiasoro, Asomasi, Naptoma and New Adiembra of the Ankasa Conservation area. The workshop report was submitted through the on-line monitoring system.

The Executing Agency has started the strategic analysis of the drivers of forest degradation and deforestation at identified sites, through a participatory process. The aim is to establish baseline data on forest cover changes in the Ankasa Conservation Area, as a demonstration area on SFM for REDD with an effective participation of identified local communities.

**Monitoring deforestation, logging and land use change in the Pan Amazonian Forest – PANAMAZON II**

Project number:	RED-PD 029/09 Rev.1 (F)	
Project country:	PanAmazonian	
Budget:	Total:	US\$ 6,262,784
	ITTO Contribution:	US\$ 1,124,784
	ACTO Contribution:	US\$ 1,389,600
	ACTO Member Countries:	US\$ 3,748,400
Submitted by:	Government of Brazil	
Implementing agencies:	Amazon Cooperation Treaty Agency (ACTO)	
Duration:	48 months	
Financed at:	REDDES Autumn Cycle 2009	

**Summary:**

The project will address constraints that are limiting the use of the Indicator of the Forest Cover by implementing national monitoring systems as a key element so as to increase the governance in the Amazon. In order to facilitate countries interaction and integrated action in boundary zones the project will strengthen the dialogue and coordination platforms linked to the Amazon Cooperation Treaty Organization (ACTO). The project is divided in three components:

- (i) Coordination of participatory processes for the development of Forest Cover National Monitoring Systems;
- (ii) Implementation of Forest Cover National Monitoring Systems; and
- (iii) Strengthening of the existing platforms for regional dialogue and coordination on forest management, with focus on forest cover monitoring with the possibility to include other criteria and indicators for sustainable forest management.

The systems will make use of the technology platform developed by the Government of Brazil and the methodology already adopted in this country will be considered for the implementation of the National Systems. Furthermore, the project will contribute to the improvement of knowledge in the sector, to share new technologies, to prioritize lines of action for the regional cooperation and to share experiences on the enforcement of forest laws.

**Progress:**

The Project Agreement regulating the implementation of the project was duly signed on 27 July 2010. The Implementing Agency is in the process of preparing the necessary documentation for the project implementation. A technical meeting involving the national coordinators from all participating countries, ABC, ACTO, GTZ, INPE and ITTO will be held at the new INPE facilities in Belem, Brazil on 9-10 Nov. 2010.

In terms of counterpart contributions, a commitment letter has been received from the German GTZ indicating a contribution of 'up to US\$ 1.0 million' provided by GTZ on behalf of the Governments of Germany and the Netherlands. The funds will be administered through ACTO in coordination with GTZ.

Communications have been initiated between the Implementing Agency (ACTO), JICA (Japanese International Cooperation Agency) and INPE (Brazilian National Institute for Space Research), concerning INPE implemented training and capacity building training courses on forest monitoring in cooperation with JICA and under the recently signed MOU between INPE and FAO with the intention to coordinate all initiatives and ensure the most efficient use of the financial resources.

**CFME**

**Strengthening capacity of stakeholders for the development of community-based plantation forest at 3 selected areas in Indonesia**

Project number:	CFM-PD 001/10 Rev.1 (F)	
Project country:	Indonesia	
Budget:	Total:	US\$ 553,711
	ITTO Contribution:	US\$ 465,151
	Government of Indonesia:	US\$ 88,560
Submitted by:	Government of Indonesia	
Implementing agencies:	Directorate of Plantation Forest Development, Directorate General of Production Forest Development	
Duration:	24 months	
Financed at:	CFME Spring Cycle 2010	

Summary:

The government of Indonesia has initiated new policies and legislation allowing local communities to be actively involved in forest management especially in the state production forest. Community-based plantation forest (HTR) is offered as a priority program in Indonesia to achieve SFM mission. HTR was launched in 2007. Constraints to the development of HTR include limited managerial and technical capacity. The project will improve the knowledge and skills of CBPF owners in managing their forest. It will enhance the capacity of communities to plan, utilize, monitor and manage their forest resources, which is critical to reduce illegal logging and associated trade. Expected outputs of the project include: 1) Improved capacity of community group in developing community based production forest (CBPF) management plan and in forest cultivation; 2) Increased number of facilitators and technical persons in the field of forestry at the district level, provincial and central to guide the community in managing their plantation forest; 3) Improved market access for forest products from CBPF and other plantation business.

Progress:

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Increasing access to markets and capital for teak plantation smallholders in Thailand**

Project number:	CFM-PD 005/10 Rev.1 (M)	
Project country:	Thailand	
Budget:	Total:	US\$ 53,904
	ITTO Contribution:	US\$ 31,104
	Government of Thailand:	US\$ 22,800
Submitted by:	Government of Thailand	
Implementing agencies:	Forest Resource Management Office 3 (Lampang), Royal Forest Department of Thailand	
Duration:	6 months	
Financed at:	CFME Spring Cycle 2010	

**Summary:**

Smallholder tree plantations are contributing significantly to income generation in rural households. Many countries have developed conclusive policies and regulations to establish such plantations, involving in many cases various forms of government subsidy schemes. However, there are still considerable barriers in government legislation to create a supportive environment for enabling smallholder's easy access to markets. The main objective of this pre-project is development of a full proposal to improve both the quality and quantity of the supply base for high value timber plantations, at the same time increasing income and contributing to poverty reduction through smallholder forestry in rural areas of Thailand.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Enabling customary landowners to participate effectively in CFM and REDD schemes within four pilot areas of PNG**

Project number:	CFM-PPD 006/10 Rev.1 (F)	
Project country:	PNG	
Budget:	Total:	US\$ 156,040
	ITTO Contribution:	US\$ 122,040
	Government of PNG:	US\$ 34,000
Submitted by:	Government of PNG	
Implementing agencies:	PNG Forest Authority	
Duration:	9 months	
Financed at:	CFME Spring Cycle 2010	

**Summary:**

The Pre-Project Proposal is a direct outcome of the “PNG Forestry and Climate Change Policy Framework for Action”, highlighting the need for engaging customary landowners at the local level and enhancing the capacity of government institutions at the national level. Forest communities in the four pilot areas are dependent upon forest products and services for subsistence and commercial purposes. The Pre-Project will enable the PNG Forest Authority to formulate a Full-Project Proposal to support CFM and REDD schemes in PNG through the establishment of a model platform for collecting and managing data and other information related to customary land ownership and forest quality. The Pre-Project will enable participating communities, the PNG Forest Authority and other stakeholders to systematically gather and consolidate forest and land tenure information using a single platform to jointly address the interrelated challenges of CFM and REDD.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**Enrichment of young forest plantations with selected NTFPs for livelihood improvement and support of forest fringe communities in Atwima Mponua District of Ghana, in order to secure and protect the resources on a sustainable forest management basis**

Project number:	CFM-SPD 007/10 Rev.1 (F)	
Project country:	Ghana	
Budget:	Total:	US\$ 290,079
	ITTO Contribution:	US\$ 149,229
	Government of Ghana:	US\$ 140,850
Submitted by:	Government of Ghana	
Implementing agencies:	Rural Development and Youth Association (RUDEYA)	
Duration:	24 months	
Financed at:	CFME Spring Cycle 2010	

Summary:

The development goal of the small project is to establish sustainable Community Forest Management and Enterprises (CFME) to reduce rural poverty through improved livelihood options, reduced land degradation, and soil fertility management in young reforestation area in the Atwima Mponua District of Ghana. The project will use a participatory approach to initiate and establish pilot forest enterprises for short to long-term management of young forest plantations for 150 farmers using beekeeping, grains of paradise and black pepper. It is envisaged that at the end of the project business management groups are established and the 150 forest dwellers will have between the parties agreed legal rights to the land and forest resource they are managing. This will help improve community participation in sustainable forest management, enhance livelihoods, promote community based forest enterprises and reduce poverty among Taungya farmers and forest communities.

Progress:

Under implementation (approved under Spring Cycle 2010 in September 2010)

**International Conference on Forest Tenure, Governance and Small and Medium Forest Enterprises with Focus in the Asia Pacific**

Project number:	CFM-PA-009/10 Rev.2 (F)	
Project country:	Asia Pacific	
Budget:	Total:	US\$ 200,000
	ITTO Contribution:	US\$ 200,000
Submitted by:	ITTO Secretariat	
Implementing agencies:	ITTO Secretariat	
Duration:	12 months	
Financed at:	CFME Spring Cycle 2010	

**Summary:**

The Activity focuses on Activities 32 and 47 of the ITTO Biennial Work Programme 2010-2011; approved by the 45<sup>th</sup> Session of the ITTC (Decision 2/XLV), requiring ITTO to organize an International Conference on Forest Tenure, Governance and Small and Medium Forest Enterprises with focus in the Asia-Pacific; as well as to develop a global study on gender in relation to tropical forests, to assess the status of gender equity in forest ownership and forest enterprises in the tropics. The Conference will complete a series of similar conferences organized by ITTO and partners with previous focus in Latin America (Brazil, 2007) and Africa (Cameroon, 2009).

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)

**TMT**

**Improving resilience of the tropical timber sector to the impacts of global and regional economic and financial crises**

Project number:	TMT-SPD 002/10 Rev.1 (M)	
Project country:	Global	
Budget:	Total:	US\$ 150,000
	ITTO Contribution:	US\$ 150,000
Submitted by:	ITTO Secretariat	
Implementing agencies:	ITTO Secretariat	
Duration:	12 months	
Financed at:	CFME Spring Cycle 2010	

**Summary:**

The proposal is a response towards the lack of resilience of the tropical forestry sector to the impacts of the recent global financial and economic crisis. It will particularly address the concern of ITTO producer member countries that detailed analyses of the impacts of the crisis and policy responses were required to enable them to be better prepared for future economic and financial downturns. In addition, the study will address the concern of ITTO consumer member countries that the analyses will improve the understanding of the underlying factors impacting demand for tropical wood products in consumer markets. The proposal focuses on increasing the resilience of the tropical timber sector to the threats arising from global economic and financial shocks by increasing the capacity of ITTO producer member countries to manage, adapt, recover from and anticipate such crises. The study will develop a knowledge base for informed decision-making at international, regional and national levels on strategies for minimising the risks to the tropical timber sector from global economic and financial shocks.

**Progress:**

Under implementation (approved under Spring Cycle 2010 in September 2010)



**Annex 2: Monitoring Protocols of operational Thematic Programmes**

<b>TFLET MONITORING PROTOCOL</b>
<b>TFLET General objective</b>
The <u>general objective</u> of the Thematic Programme is to improve national forest law enforcement and governance in tropical ITTO member countries in order to <ul style="list-style-type: none"> <li>• enhance and diversify international trade in tropical timber from sustainably managed forests, and</li> <li>• help alleviate poverty in those countries.</li> </ul>

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
a) Strengthen forest law compliance and governance through improved national policy and legal frameworks, strengthened enforcement and other institutions, improved data and knowledge, strengthened partnerships and improved cooperation among the private sector, civil society organizations and other stakeholders	Improved access to forest resources by forest communities and other forest-dependent people	Laws and legal instruments on tenure and user rights established, reviewed or improved	5 countries	Improved laws and regulations published in the national gazette (where applicable)
	Multi-stakeholder platforms for policy dialogue on forest governance	Establishment and operation of multi-stakeholder consultation mechanism		Evidence on establishment of committees Reports of Multi-stakeholder committees
	National action plans for strengthening forest governance and law compliance	National Action Plan formulated and under implementation		Evidence on approval of National Action Plans Periodic reports on implementation of National Action Plans
	Improved data and knowledge on illegal logging and trade	Establishment and strengthening of law enforcement units		Number of personnel trained in forest law enforcement; Evidence on establishment of LEU Law Enforcement Units operational
		National studies on timber flows carried out		Reports on studies; Database(s) on interventions on forest crime, illegal logging and trade
	Improved verification and monitoring procedures for legal compliance	Reconciliation Mechanism for resolving trade flow discrepancies	Reconciliation mechanism established and related reports	
Assessment of appropriate technologies for chain of custody, tracking and tracing	Cost-effective and non-paper based timber tracking systems developed and implemented		Report on the implementation of the systems, certification of verification of legality, chain of custody certification reports	
	Compendium on tracking and tracing technologies prepared		Compendium	Compendium of tracking and tracing technologies and evidence on dissemination

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
b) Improve transparency and effective management of supply chains and increased domestic and international trade in legally produced tropical timber	Ensured market access for competitive tropical timber products from legal/sustainable sources	Increased volumes of traded tropical timber and timber products from legal and sustainable sources	TBD	Number of Certificates of COC, legality, etc.
	Appropriate public procurement policies related to timber	Development of Procurement policies in ITTO producing countries	5 countries	Number of countries with public procurement policies and legislation formulated and/or implemented
	Increased opportunities in the public sector markets for legally produced tropical timber and timber products	Public timber procurement policies and legislation formulated and/or under implementation		Dissemination of public timber procurement policies in producer countries Increased trade volumes of tropical timber and timber products
	Functioning partnerships established among civil society organizations, the private sector and government agencies in monitoring of timber flows and legal compliance and origin of tropical timber supplies	Civil society / private sector / governmental agency partnerships established	5 partnerships	Progress/Completion Reports; MOUs of partnerships
	Capacity building activities undertaken among civil society organizations	Training Courses on forest law compliance implemented		Number of Training modules; Reports on training programmes; Participants evaluation reports
	Approved codes of conduct of trade associations and demonstration of commitments to their implementation	Codes of conduct from the private sector)	5 Codes of Conduct	Reports on the implementation of Codes of Conduct adopted

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
c) Improve capacity of community and small and medium-sized enterprises to implement and demonstrate that timber produced and traded comes from legal sources contributing to sustainable livelihoods	Increased production of tropical timber from legal and sustainable sources (from community forests)	Value and volume of timber produced and traded by forest dependant and local communities	5 communities	Production and Trading records from the communities Certification of community based SFM
	Capacity building activities undertaken in communities	Relevant training modules developed and disseminated in forest communities	5 communities	Training modules, reports on training
	Increased production of tropical timber from legal and sustainable sources by SMEs	Value and volume of timber traded by SMEs	5 SMEs	Production and Trading records from the SMEs
	Capacity building activities undertaken for SMEs	Relevant training modules developed and disseminated to SMEs	5 SMEs	Training modules, reports on training
	Increased number of SMEs operating in the formal sector	Increased number of registered SMEs	Percentage increase	Database on registered SMEs
d) Improve international cooperation in forest law enforcement and governance among ITTO member countries and other related international initiatives	International policy development activities undertaken	Increase in the number of ITTO member countries participating in international and regional initiatives to improve forest law enforcement and governance	TBD	Reports of the international and regional initiatives
		Increase of ITTO member countries in trans-boundary timber control processes		Number of bilateral initiatives on forest law enforcement
	Regional and international cooperation initiatives implemented	Increased number of ITTO member countries engaged in regional and international cooperation initiatives		Number of initiatives to facilitate country engagement in regional and international cooperation initiatives such as VPA/FLEGT etc
	Information sharing and knowledge management activities undertaken	FAO/ITTO best practices on forest law compliance policy briefs disseminated	All ITTO member countries	Distribution list of FAO/ITTO best practices on forest law compliance policy briefs
	Information on timber procurement and due diligence requirements disseminated		Due Diligence Report and reports on dissemination mechanism	

<b>REDDES MONITORING PROTOCOL</b>
<b>REDDES General objective</b>
Reduce deforestation and forest degradation, enhance environmental services and help improve forest dependant livelihoods through sustainable management of tropical forests, forest restoration and other related activities.

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
<p>The <u>specific objective</u> of the Programme is to strengthen the capacity of ITTO developing member countries and their stakeholders to:</p> <p>a) Reduce unplanned deforestation</p> <p>b) Reduce forest degradation</p> <p>c) Maintain and enhance climate change mitigation and other environmental services of tropical forests</p> <p>d) Contribute to the social and economic sustainability and well-being of forest-dependent communities by increasing forest values through forest restoration and rehabilitation, as well as payments for forest-based environmental services</p> <p>e) Enhance adaptation and resilience of tropical forests to negative effects of climate change and</p>	<p>Avoided deforestation, restoration or conservation initiatives established to combat deforestation and degradation;</p>	<p><u>Increased area under SFM, restoration or conservation</u></p> <p><u>Number of initiatives on avoided deforestation:</u> Delineated land and forest tenure and user rights Monitor forest cover and landuse change Establishment of permanent forest estate</p> <p><u>Number of initiatives addressing restoration:</u> Forest landscape restoration Demonstration projects on restoration</p> <p><u>Number of initiatives on conservation</u> Trans-boundary Conservation Area (TBCA) Buffer zone management</p>	<p>6 countries (2 per region)</p>	<p>Projects funded</p> <p>Reports on initiatives</p>
	<p>Integration of climate change mitigation/adaptation and other environmental services in the ITTO guidelines on C&amp;I, SFM, certification, valuation, as appropriate to manage forest area sustainably</p>	<p>Revised/updated versions of ITTO guidelines and other relevant policy documents incorporating new approaches on climate change and environmental services</p>	<p>2 guidelines/policy documents reviewed/updated</p>	<p>New/updated versions of ITTO guidelines</p>
	<p>Income generation based on forest related environmental services and other forest outputs by local communities</p>	<p>Increased income resulting from forest-related environmental services and other forest products by local communities of participating countries</p> <p>Increase of women participation in the community forest-related environmental services of participating countries</p>	<p>10% increase from the baseline information in income in at least 30 communities</p> <p>Increase of women participation in at least 30 communities of participating countries</p>	<p>Reports on the demographic and socio-economic situation in the area of influence of the projects supported by the Thematic Programme</p>

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
human-induced impacts	Increased recognition of the values of tropical forests and their environmental services	Increased public awareness	2 countries	Number of awareness campaigns at community/country level
		Existence of appropriate methodology to value Environmental Services and ability to apply	1 country	Report on appropriate valuation and methodology available
		Increased forest value and market opportunities for forest products and services	2 countries	National accounting reports
		Incorporation of the value of forest environmental services in national accounting	2 countries	Report on study on actual payments for environmental services
	Quantification of carbon stocks performed using reliable monitoring and assessment technologies and/or valuation techniques	National forest carbon assessment and monitoring systems	2 national forest carbon inventories supported by the programme	National forest carbon inventory reports National forest monitoring systems
	Value of biodiversity assessed and surveys conducted on land with potential for biodiversity PES schemes	National/regional studies conducted on assessment of value of biodiversity on land with potential for biodiversity PES schemes.	3 studies	Report of the national/regional studies
	Assessments are made in an attempt to 'bundle' environmental services, to maximize forest related revenues	Assessment of National forest finance strategies	1 country	Assessment reports
	Community initiatives taken to identify opportunities to increase productive capacity of forests, including by implementing SFM schemes	Increased area of community forest protected against fire, pest and disease	30 communities	Fire, pests and disease incident reports
		Improved silvicultural systems in community forests	3 countries	Project reports
	Capacity building initiatives undertaken to implement policy reforms and/or clarify land/forest tenure arrangement	National policy reforms and clear forest and land tenure arrangements in place, integrating climate change mitigation/adaptation and other environmental services	3 countries	Evidence on policy and legislative reforms processes on forest and land tenure in at least three countries covered by the programme
Information sharing and knowledge management systems operational	Learning network on forest landscape restoration focusing on benefits of environmental services	Establishment of one global network supported	Website and links to the network; information made available	
	Information on REDDES results available on ITTO website	Regularly updated website		
PES incentive mechanisms	PES incentive mechanisms developed or undergoing	3 countries	Evidence on PES mechanisms	

Specific Objective	Outputs	Output Indicator	Target Value	Means of Verification
	assessed and established	implementation  Studies on the assessment of willingness to pay for environmental services	3 studies	developed or undergoing implementation  Reports
	Countries with improved capacity to implement SFM, forest restoration and rehabilitation.	Forestry stakeholders trained in the implementation of restoration and rehabilitation activities, PES schemes and the implementation of policy reforms and/or land/forest tenure arrangements  National criteria and indicators for SFM, forest restoration and rehabilitation developed	300 forestry stakeholders in 3 countries (100 each)  3 countries (one per region)	Training Reports  National C& I reports
	Demonstration areas established  Operational practices for community involvement in development of environmental services	Demonstration projects on community involvement in avoided deforestation and degradation, development of environmental services, SFM, restoration and rehabilitation of secondary and degraded forest areas.  Communities trained and assisted in development and implementation of PES mechanisms  Communities directly involved in PES mechanisms developed and /or undergoing implementation with the support of the programme	3 demonstration projects  Communities in 3 countries trained  Communities in 3 countries involved in PES mechanism	Project reports. Visit reports of demonstration projects.  Report of the training workshops  Activity Report
	Stakeholder consultations/dialogues undertaken for awareness raising and to encourage cooperation among interested parties	Participatory systematization of lessons learned  (Sub-) National working groups  Forest dependent communities sensitized on adaptation options to climate change.	30 communities  3 countries  3 countries	Report of the multi-stakeholder partnerships  Workshop and training Reports
	Information sharing mechanisms in place	Issues of the TFU and number of hits on the ITTO website for the information sharing and knowledge management systems  International seminar on REDDES to share experiences and lessons learned	3 issues of TFU (one per year) and more than 5,000 hits on the ITTO web page for REDDES information sharing  1 international seminar	Report of the establishment of network and the operation of the website including usage matrix  Proceedings of the international seminar on REDDES and PES.

## CFME MONITORING PROTOCOL

### CFME General objective

The general objective of the Thematic Programme is to contribute to poverty reduction in tropical forest areas by:

- (i) strengthening the ability of forest communities and smallholders to sustainably manage their tropical forest resources; and
- (ii) assisting CFEs to add value to and market the products and services obtained from these resources.

Specific objective	Outputs	Output indicators	Target value	Means of verification
Strengthen community level capacity in SFM and adding value to the forest resource.	Improved operational practices for community forest management and enterprises Trained human resources in implementation of community forestry in the programme impact area	Number of communities involved in SFM implementation Training materials on community based SFM produced and activities implemented Community leaders and members trained and assisted in development and implementation of SFM	At least 9 communities in at least 3 countries (3/country)	Community internal records Training packages Training event reports
	Increased area of community lands under SFM; including both natural forests, and plantations for fuelwood, agroforestry and other purposes, as well as restored and rehabilitated forests.	Sustainably managed pilot and demonstration areas on SFM established in community forests	At least 3 areas established (at least 1/region)	FMPs, monitoring reports
	Enhanced conservation of biodiversity, soil, water, carbon storage and other natural resources in community forests	Sustainably managed pilot and demonstration areas on Environmental Services established in community forests	At least 3 areas established (at least 1/region)	FMPs, monitoring reports
	Improved consensus building, management systems and practices in community organizations	Training materials for awareness raising and improved management systems and practices of community organizations produced and training activities implemented	Training packages, Workshops, training courses in at least 3 countries	Training packages Workshop and course reports
	Associations, networking and alliances established and strengthened among forest communities, indigenous peoples and smallholders, and their enterprises	Associations, networks and/or alliances established and functional	Associations, networks and/or alliances in at least 3 countries	Reports of associations, networks and/or alliances MoUs
	Local communities empowered to advance sustainable forest management and forest based enterprises in their areas of influence	Pilot communities empowered at local level	At least 9 communities in at least 3 countries (3/country)	Community level records
	Improved knowledge on technology and financing possibilities among forest communities, indigenous	Improved information availability on financing sources, and appropriate technologies among CFEs	promotional material at community level in at least 9 communities	Documentation produced Dissemination records

Specific objective	Outputs	Output indicators	Target value	Means of verification
	peoples, smallholders and service providers			
	Improved knowledge among the financial community and technology providers on the opportunities and needs of CFEs	Facilitate communications between financial community/technology providers and CFEs Promotional material produced	Information on the opportunities and needs of CFEs to at least 3 financial institutions/technology providers	Records on loans and service agreements extended to communities
	Improved mechanisms for financing and technology transfer for CFEs identified and piloted	Analytical studies on the design of financing mechanisms and technological improvements as well as dissemination activities Pilot projects implemented	3 studies 3 pilot projects	Study reports Project progress reports
	Improved technical and business management capability among CFEs	Technical and business management training activities on value added production Pilot and demonstration initiatives implemented in CFE development	3 training activities 3 pilot CFEs and/or business plans	Training Course reports Project progress reports Business Plan documents
	Improved marketing arrangements and facilitated access to markets by CFEs	Improved market information on the domestic and export markets for CFEs Pilot and demonstration initiatives implemented in marketing Increased production and exports by CFEs	3 market survey reports At least 3 pilot initiatives	Market studies and reports Project progress reports
	Expanded sales by CFEs	Commercial partnerships established between CFEs and private or public enterprises/investors Increased revenues of CFEs	Increased revenues in at least 3 CFEs	CFE records
	Improved family employment and income among communities directly involved in community forestry and enterprises	Increased employment opportunities and increased income	Employment and income increased in at least 9 CFEs	CFE records on labour force and payroll
Strengthen country capacity and enabling conditions for community forest management and enterprises	Participatory processes established for promoting community forestry	Stakeholder consultations/dialogues undertaken for awareness raising and policy development for community forest management and enterprises Improved information on the status and potential of community forest management and enterprises at sub-national and	At least 3 countries	Reports on meetings and workshops, Survey reports on CFEs



Specific objective	Outputs	Output indicators	Target value	Means of verification
		national levels		
	Strengthening of land tenure and resource rights of forest communities, indigenous peoples and smallholders	Analytical studies on constraints in land tenure and resource rights	At least 1 study	Study report
	Policy, legal and institutional reforms designed and initiated to promote community forest management and enterprises	Local communities tenure and access to forest resources clarified and facilitated Awareness raising events and dissemination Policy, institutional and legislative reforms initiated Policy revision plans produced	3 countries	Study reports Event reports Revision plans Reports on the progress of policy revision
	Integration of development of community forestry and enterprises in national forest programmes and similar planning frameworks	NFPs incorporating and promoting community-based forest management and enterprises	3 countries	NFP documentation
Strengthen knowledge management at all levels	Information sharing and knowledge management systems operational	Compilation, assessment, analysis and dissemination of lessons learned at local, national, regional and international levels. Events for sharing experience at local/national/regional and international levels ITTO web portal developed	Manuals and guidelines Synthesis reports on lessons Web portal	Manual and guideline documents Dissemination records Reports on lessons Records on web portal users
	Improved availability of traditional knowledge on community forest management	Compilation of traditional knowledge on community forest management	Compilation in at least 3 communities	Compendium reports

## TMT MONITORING PROTOCOL

### TMT General objective

The general objective of the Thematic Programme is to :

- (iii) Promote the trade of tropical timber products and NTFPs by increasing the capacity of producer member countries in market intelligence and marketing skills, and
- (iv) Improve market transparency through improved data and knowledge

Specific Objectives	Outputs	Output Indicators	Target Value	Means of verification
Strengthen information systems on markets and the forest sector	Countries with improved information systems on markets and forest sector	Information Systems on markets and forest sector improved	At least 3 countries with improved systems	Project progress reports  Descriptions of information systems
	National strategies to strengthen information systems	National strategies to strengthen information systems developed or quality improved	At least 3 countries with national strategies	National strategy/plan documents
	Improved quality of data and analysis	Improved statistical information and analyses on trade and markets in producing countries	At least 3 countries with improved statistics and analyses	Statistical and analytical reports
	Trained decision-makers, staff and specialists	Training courses, workshops and other capacity building initiatives undertaken  Decision makers trained in development and use of information systems	At least 3 countries with trained decision makers, staff and specialists	Training packages Workshop/meeting/training reports
	Private sector organizations with improved systems and skills	Training and information-sharing working groups established and operational Number of organizations with improved system	Organizations with improved systems in at least 3 countries	Working group reports; System descriptions
	Networks between producers and users of information	Specialized networks on sector/market information established and operational	At least 3 countries with networks	Networks and reports
Fill gaps in the market transparency of tropical timber and timber products.	Identified gaps/weaknesses in existing trade statistics	Review and analyses of existing trade statistics	Review and analytical studies in at least 3 countries	Review and analytical reports
	Improved trade statistics	Improved Forest Sector Joint Questionnaire for data Collection Improved responses to JQ	Improved JQ  All member countries	JQ document  Timely, complete and accurate submission of JQ
	Appropriate HS codes for tropical timber and timber products	Improved trade codes and conversion factors	Proposals for HS code improvement	Proposal documentation Meeting reports

Specific Objectives	Outputs	Output Indicators	Target Value	Means of verification
	Specific information on production and use of tropical timber, demand and supply outlook, bioenergy, emerging markets	Updated information	At least 3 reports	Study reports
	Strengthened capacities to utilize market information for marketing strategies and improvement of policy, legal and institutional frameworks	Improved capacity of traders and policy makers to utilize market information	At least 3 countries	Workshop/seminar/conference reports Improved marketing strategies/policies/institutional frameworks
	Improved information on intra-regional trade	Updated information	At least one study	Study report (Asia, Africa and Latin America)
	Market information on Lesser Used Species (LUS) and new materials	Survey and study reports on markets for LUS and new materials	At least one study	Study reports
	Information on market outlook for individual plantation species	Survey and study reports on market trends for tropical timber plantation species	At least one study	Study reports
	Improved information on the structure of the value chain of tropical timber and timber products	Surveys and study reports on development of the value chain of tropical timber and timber products	At least one study	Study reports
Enhanced market access and trade promotion of tropical timber	Periodic systematic information on factors affecting markets (tariffs, non-tariff barriers, etc.)	Reports on trends and factors affecting tropical timber markets	At least one study	Study reports
	Monitoring and analysis of emerging market requirements for tropical timber and timber products	Reports on emerging market requirements of tropical timber and timber products trade and trends	At least one study	Study reports
	Periodic systematic information and research on the life cycle impacts of tropical timber vs. substitutes	Monitoring, research reports and awareness raising activities on life-cycle impacts of tropical timber vs. substitutes	At least one study	Study report
	Marketing strategies in pilot enterprises	Model companies with marketing strategies	At least 3 enterprises (1/region)	Project progress reports
	Trained staff and specialists in market intelligence and marketing skills	Training course on market intelligence and marketing skills	At least one training course	Training course reports

Specific Objectives	Outputs	Output Indicators	Target Value	Means of verification
	Policies and legal frameworks facilitating tropical timber trade in exporting countries	Improved policy and legal frameworks related to timber trade in exporting countries and dissemination activities	At least one exporting country	Approved policy documents, proposals for adjustment of legislation and regulations,
	Trade promotion strategies and action plans	Strategies and action plans formulated and under implementation	At least one country	Strategy/plan documents
	Improved access by buyers, users and producers on information on tropical timber species characteristics and uses	Web portal on timber species, trade and market information	1 web portal operational	Web portal and its species coverage Visitor records
	Promotional materials on SFM and tropical timber for generic marketing	Promotional documentation produced	1 promotional material package	Documentation/ITTO website Dissemination records
	Market promotion activities for tropical timber	Trade promotion activities implemented Advocacy and market promotion activities for legally and sustainably produced timber and timber products	1 campaign	Records on campaign
	Effective networking among trading partners	Information sharing networks operational	At least one network	ITTO website Network reports and surveys

**ANNEX 3 - Meeting Reports TPAC CFME (1-2 March 2010) and TMT (4-5 March 2010)**

**MEETING REPORT**

**ITTO THEMATIC PROGRAMME ADVISORY COMMITTEE (TPAC)**

**COMMUNITY FOREST MANAGEMENT AND ENTERPRISES (CFME)**

**Yokohama, 1-2 March 2010**

**Introduction**

1. At its Forty-fourth Session held in November 2008, in Yokohama, Japan, the Council adopted Decision 9(XLIV) and 10(XLIV) on “Thematic Programmes on Pilot Basis: Operational Procedures and Guidelines” and “Thematic Programme Profiles” respectively (Annexes I and II).
2. The Executive Director, pursuant to Decision 9(XLIV), invited Member Countries to nominate Members for the Thematic Programme Advisory Committee (TPAC), to assist him with the implementation of the thematic programme, viz., to (i) select activities/pre-projects/projects for financing under the Thematic Programme; (ii) monitor and evaluate progress in implementing the Thematic Programme; and (iii) identify potential additional sources of voluntary financial contributions to the Thematic Programme.
3. Subsequently, members for the TPAC for CFME were selected. As a general rule, each Thematic Programme Advisory Committee will not exceed seven members, taking into account the need for balanced representation among members and will work and coordinate through electronic means and meet once a year. They will include:
  - a) Three producer member representatives with recognized expertise on the thematic programme;
  - b) At least one non-donor consumer member representative with recognized expertise on the Thematic Programme;
  - c) Expert representatives of interested donors and collaborating institutions; and
  - d) Executive Director or his designated representative.
4. The meeting of the TPAC for CFME was convened in Yokohama from 1 to 2 March 2010.
5. The meeting commenced with a brief opening statement by Mr. Emmanuel Ze Meka, Executive Director (and the Chairperson), who welcomed the members of the TPAC for CFME. He expressed his gratitude to the consultant Dr. Markku Simula (Terms of Reference for the Consultant are in Annex IV), for preparing an excellent draft Thematic Programme Document on CFME, in consultation with the prospective donors and interested Member Countries, for the review of the Committee. Members of the Secretariat including all Assistant Directors, Project Managers and the Planning, Monitoring and Evaluation Officer also participated in the meeting and assisted the work of the Committee.

**Adoption of the Agenda**

6. The Committee adopted its agenda as presented in Annex III and its Terms of Reference as provided for in the ITTC Decision 9(XLIV) Annex 3.

**Review of the Draft Thematic Programme**

7. The draft Thematic Programme Document (TPD) entitled ITTO Thematic Programme on “Community Forest Management and Enterprises (CFME)” prepared by the Consultant was presented. Comments received from donors, interested member countries and the Secretariat on the draft TPD circulated earlier had been incorporated in the latest draft to the extent practicable.
8. Dr. Markku Simula gave a presentation on the CFME draft Thematic Programme Document (TPD), including background information on the Thematic Programme approach and the process of TPD

development.

9. The Committee reviewed the draft TPD in detail and made a number of changes to the contents and editorial amendments. Following a second review, which also incorporated some donor comments not yet included in the latest draft, the TPD was duly finalized.
10. The Consultant subsequently revised the TPD taking into account all the comments made. The revised Thematic Programme Document is in Annex V.

### **Overview of the Management Aspects of the Thematic Programme**

11. The Secretariat made a presentation on the Management of the Thematic Programmes by ITTO. The presentation included an overview of the delivery mechanism of the TP, the TP cycle and an overview of proposal appraisal and project implementation and monitoring. It also referred to the Terms of Reference for Thematic Programme Advisory Committees – which had been circulated to the TPAC members before the meeting.

### **Strategic planning for the implementation of the Thematic Programme and identification of priority activities**

12. Considering the fact that the Thematic Programme is in a pilot phase and the current funding situation for CFME, the Committee reviewed again TPD Chapter 6, Activities and highlighted a number of activities that may be given priority under the first call(s) for proposals, including:
  - A 1 – Support capacity building of forest communities and community enterprises through pilot and demonstration projects which may include a variety of activities;
  - 2 a) - Establishment and strengthening of cooperative organizations to promote economic and social interests of forest communities, indigenous peoples and smallholders (awareness raising activities, promotion, workshops, elaboration of rules of operation, etc.);
  - 4 a) - Surveys and other analytical work on the current status of community forest management and enterprises to identify development opportunities and constraints, priorities and concerns of local communities, need for adjustment of the institutional and legal framework for community forestry (e.g. simplified regulations), clarification of tenure and resource rights in order to ensure management and usufruct rights to communities and smallholders.
  - 5 a) - Stakeholder dialogues and other awareness raising activities on the potential of community forest management and enterprises to contribute to poverty reduction and other national development goals among decision-makers and key stakeholder groups;
  - 5 b) - Adjustment of national policies, legislation and strategies for the development of community forest management and enterprises, particularly to secure forest tenure and provide legal recognition of forest management rights and responsibilities;
  - 5 c) - Support to forest land use planning and demarcation of forest lands in community forest areas to avoid tenure dispute;
  - 6 a) - Conduct analyses on market development of community forest enterprises in Africa, Latin America and Asia-Pacific
  - 7 a) - Organization of workshops, seminars, conferences on community forest management and enterprises

### **Consideration of the Future Work of the Advisory Committee**

13. Based on the terms of reference for the Thematic Programme Advisory Committees (as contained in the annex to Decision 9(XLIV)), the ITTO Executive Director highlighted the role of the TPAC at three levels including proposal review, TP fund raising and programme monitoring. The Committee raised the following issues:
  - ⇒ Although members agreed that the consultation process for proposal review will be through electronic means, it was proposed that ITTC should consider providing financial means to allow for a meeting of the TPAC once a year, this in particular during the TP pilot phase to have an opportunity to discuss (face-to-face) the basic elements of TP including development/adjustment of an effective implementation procedure, weaknesses to be addressed, fund raising, monitoring, delivery process etc. One of such meetings should also involve members of other TPACs to

share their experiences.

- ⇒ The committee emphasized the need for fund raising, including by the members, to ensure adequate implementation of the Programme.
- ⇒ The committee suggested that calls for proposals should only be launched when sufficient funding is available. Would the funding available for a specific TP fall below an identified threshold value, organization of a call for proposals could be counterproductive.
- ⇒ In view of the limited funds presently available for the TP implementation, the calls for proposals could include information on the budget range of different types of projects to avoid proposals for large projects which cannot be funded by the Programme.
- ⇒ A draft Monitoring Protocol for the TP will have to be developed in the near future for review by the committee.

#### **Adoption of the Revised TP Document**

14. The revised TP document on the CFME (included as Annex V) was adopted subject to any further comments to be received by 15 March 2010.
15. The adopted Thematic Programme Document, together with the guidance for the call for proposals, will be circulated to all ITTO Member Countries.

#### **PARTICIPANTS TO THE ADVISORY COMMITTEE**

##### TPAC Members:

##### PRODUCERS

- Mr. Ernesto Arends Rodriguez (Venezuela)
- Mr. Theodore Mbaro (Central African Republic)
- Mr. Juan Magboo Pulhin (Philippines)

##### CONSUMERS

- Dr. Pablo Manuel Martinez de Anguita (Spain) (Non-donor)
- Mr. Kazuyuki Morita (Japan) (Donor)

##### ITTO Secretariat

- Mr. Ze Meka Emmanuel (Executive Director, ITTO)

##### Members of the Secretariat:

Mr. Takeichi Ishikawa  
Mr. Amha Bin Buang  
Mr. Eduardo Mansur  
Dr. Steven Johnson  
Mr. Mahboob Hasan  
Dr. Gerhard Breulmann  
Mr. E. Collins Ahadome  
Mr. Hwan Ok Ma  
Mr. Polycarpe Masupa Kambale  
Dr. Tetra Yanuardi  
Mr. Ramon Carrillo Arellano

##### Consultant:

Dr. Markku Simula

## MEETING REPORT

### ITTO THEMATIC PROGRAMME ADVISORY COMMITTEE (TPAC)

#### TRADE AND MARKET TRANSPARENCY (TMT)

Yokohama, 4-5 March 2010

#### Introduction

1. At its Forty-fourth Session held in November 2008, in Yokohama, Japan, the Council adopted Decision 9(XLIV) and 10(XLIV) on “Thematic Programmes on Pilot Basis: Operational Procedures and Guidelines” and “Thematic Programme Profiles” respectively (Annexes I and II).
2. The Executive Director, pursuant to Decision 9(XLIV), invited Member Countries to nominate Members for the Thematic Programme Advisory Committee (TPAC), to assist him with the implementation of the thematic programme, viz., to (i) select activities/pre-projects/projects for financing under the Thematic Programme; (ii) monitor and evaluate progress in implementing the Thematic Programme; and (iii) identify potential additional sources of voluntary financial contributions to the Thematic Programme.
3. Subsequently, members for the TPAC for TMT were selected. As a general rule, each Thematic Programme Advisory Committee will not exceed seven members, taking into account the need for balanced representation among members and will work and coordinate through electronic means and meet once a year. They will include:
  - e) Three producer member representatives with recognized expertise on the thematic programme;
  - f) At least one non-donor consumer member representative with recognized expertise on the Thematic Programme;
  - g) Expert representatives of interested donors and collaborating institutions; and
  - h) Executive Director or his designated representative.
4. The meeting of the TPAC for TMT was convened in Yokohama from 4 to 5 March 2010.
5. The meeting commenced with a brief opening statement by Mr. Emmanuel Ze Meka, Executive Director (and the Chairperson), who welcomed the members of the TPAC for TMT. He expressed his gratitude to the consultant Dr. Markku Simula (Terms of Reference for the Consultant are in Annex IV), for preparing an excellent draft Thematic Programme Document on TMT, in consultation with the prospective donors and interested Member Countries, for the review of the Committee. Members of the Secretariat including all Assistant Directors, Project Managers and the Planning, Monitoring and Evaluation Officer also participated in the meeting and assisted the work of the Committee.

#### Adoption of the Agenda

6. The Committee adopted its agenda as presented in Annex III and its Terms of Reference as provided for in the ITTC Decision 9(XLIV) Annex 3.

#### Review of the Draft Thematic Programme

7. The draft Thematic Programme Document (TPD) entitled ITTO Thematic Programme on “Trade and Market Transparency (TMT)” prepared by the Consultant was presented. Comments received from donors, interested member countries and the Secretariat on the draft TPD circulated earlier had been incorporated in the latest draft to the extent practicable.
8. Dr. Markku Simula gave a presentation on the TMT draft Thematic Programme Document (TPD), including background information on the Thematic Programme approach and the process of TPD development.
9. The Committee reviewed the draft TPD in detail and made a number of changes to the contents and editorial amendments. Following a second review the TPD was duly finalized.



10. The Consultant subsequently revised the TPD taking into account all the comments made. The revised Thematic Programme Document is in Annex V.

#### **Overview of the Management Aspects of the Thematic Programme**

11. The Secretariat made a presentation on the Management of the Thematic Programmes by ITTO. The presentation included an overview of the delivery mechanism of the TP, the TP cycle and an overview of proposal appraisal and project implementation and monitoring. It also referred to the Terms of Reference for Thematic Programme Advisory Committees – which had been circulated to the TPAC members before the meeting.

#### **Strategic planning for the implementation of the Thematic Programme and identification of priority activities**

12. Considering the fact that the Thematic Programme is in a pilot phase and the current funding situation for TMT, the Committee reviewed again TPD Chapter 6, Activities and highlighted a number of activities that may be given priority under the first call for proposals, including:
  - 1) a, b, c, d
  - 2) a, b, c, d
  - 3) a, b, c
  - 4) a
  - 5) a
  - 6) b, f
13. The Committee took note that 1) and 2) are part of the Biennial Work Programme of the Organization and need therefore to be prioritized.
14. The Committee took note of the fact that several activities in the TPD are to be implemented by the Secretariat. A different balance between the country level activities and the regional and international level activities is needed in this Thematic Programme in comparison with the other TPs.
15. Appropriate guidance on the Programme priorities (special consideration of proposals for activities 1) and 2)) and the Secretariat implemented activities could be provided to potential proponents in the calls for proposals.

#### **Consideration of the Future Work of the Advisory Committee**

16. Based on the terms of reference for the Thematic Programme Advisory Committees (as contained in the annex to Decision 9(XLIV)), the ITTO Executive Director highlighted the role of the TPAC at three levels including proposal review, TP fund raising and programme monitoring. The Committee raised the following issues:
  - ⇒ Although members agreed that the consultation process for proposal review will be through electronic means, it was proposed that ITTC should consider providing financial means to allow for a meeting of the TPAC once a year, this in particular during the TP pilot phase to have an opportunity to discuss (face-to-face) the basic elements of TP including development/adjustment of an effective implementation procedure, weaknesses to be addressed, fund raising, monitoring, delivery process etc. . One of such meetings should also involve members of other TPACs to share their experiences.
  - ⇒ The Committee noted that the indicative three-year budget of US\$ 5 million was likely not sufficient to achieve the expected programme results. Therefore, the Committee emphasized the need for fund raising, including by the members, to ensure adequate implementation of the Programme.
  - ⇒ The Committee suggested that calls for proposals should only be launched when sufficient funding is available. Would the funding available falls below a certain threshold value, organization of a call for proposals could raise unrealistic expectations.
  - ⇒ In view of the limited funds presently available for the TP implementation, the calls for proposals

could include information on the budget range of different types of projects to avoid proposals for large projects which cannot be funded by the Programme.

⇒ A draft Monitoring Protocol for the TP will have to be developed in the near future for review by the Committee.

### **Adoption of the Revised TP Document**

17. The revised TP document on the TMT (included as Annex V) was adopted subject to any further comments to be received by 15 March 2010.
18. The adopted Thematic Programme Document, together with the guidance for the call for proposals, will be circulated to all ITTO Member Countries.

### **PARTICIPANTS TO THE ADVISORY COMMITTEE**

#### TPAC Members:

##### PRODUCERS

- Ms. Pradeepa Bholanath (Guyana)
- Mr. Sim Wah Loke (Malaysia)
- Dr. Beatrice Darko Obiri (Ghana)

##### CONSUMERS

- Mr. Stefano Guidese (Switzerland) (donor)
- Dr. Rin Won Joo (Republic of Korea) (non-donor)

##### ITTO Secretariat

- Mr. Ze Meka Emmanuel (Executive Director, ITTO)

#### Members of the Secretariat (to provide technical advice and support to TPAC):

Mr. Takeichi Ishikawa  
Mr. Amha Bin Buang  
Mr. Mahboob Hasan  
Dr. Steven Johnson  
Mr. Eduardo Mansur  
Mr. E. Collins Ahadome  
Mr. Ramon Carrillo Arellano  
Dr. Gerhard Breulmann  
Mr. Hwan-Ok Ma  
Mr. Polycarpe Masupa Kambale  
Mr. Charas Mayura  
Mr. Li Qiang  
Dr. Tetra Yanuardi

#### Consultant:

Dr. Markku Simula

5 March 2010