

**ACTIVITY PROPOSAL TO THE
INTERNATIONAL TROPICAL TIMBER ORGANIZATION (ITTO)**
Submitted by the Government of Indonesia

TITLE Review on Ramin Harvest and Trade: CITES Compliance, Tri-National Task Force on Trade in Ramin, Trade Control and Monitoring.

SUMMARY

The main objective of this proposal is to contribute to the SFM and conservation of ramin through the improvement of CITES implementation, enhancing regional cooperation and improving trade data monitoring. The expected outputs are (i) a roadmap for SFM and conservation and CITES trade compliance system; (ii) review on the effectiveness of regional forum to combat illegal trade, including ramin; and (iii) improved trade data collection, monitoring and trade control.

EXECUTING/IMPLEMENTING AGENCY

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DURATION 12 months

PROPOSED START DATE Upon receiving funding for the Activity

BUDGET AND PROPOSED SOURCE OF FINANCE

ITTO	US\$ 55,000
GOI (in-kind contribution)	US \$ 12,430
Grand total	US \$ 67,430

This activity is submitted to ITTO for consideration under its Work Program activity "Ensuring international trade in CITES-listed timber species is consistent with their sustainable management and conservation," with primary funding provided by the European Commission and additional support from the USA, Japan, New Zealand and Norway.

PART I. CONTEXT

Origin/Background

The overall objective of the inclusion of species into CITES Appendix is to ensure the sustainable management and conservation of the species through international trade regulation. The regulation includes the requirement that the harvest is not in contravention with national rules and regulation and the harvest does not cause detrimental effect on the survival of species, population and habitat. Theoretically, the determination of harvest quota is aimed to minimize the detrimental effect caused by the harvest. Understanding on the basis for the inclusion of species into CITES Appendix, determination of harvest quota and Non-Detriment Finding (NDF) are still limited for most field officers of the CITES Management Authority and other relevant stakeholders such as plant quarantine, customs officers and the officers of Customs and Excise offices. This proposed activity is intended to improve capacity of both institutional and human resources in order to enhance the SFM and conservation of ramin through the establishment of ramin roadmap for management, the implementation of CITES rules and regulation, trade control and monitoring.

On the other hand, monitoring on harvest and trade is also still weak resulting in poor statistical data presentation and accuracy. In order to improve monitoring on ramin international trade, legal and illegal, and also to enhance data exchange to reduce illegal trade to the neighbouring countries, Indonesia, Malaysia and Singapore has established a regional forum since 2003/2004, called the Tri-National Task Force on Trade in Ramin. The Task Force scheduled to have annual meeting in the member countries. In 2006/2007, the meeting of the Task Force has been temporarily suspended until there is a new insight to demonstrate that the Task Force will be contributing significantly to combat illegal logging and illegal trade of ramin. Other issues may also include poor trade monitoring and tracking system not only for ramin, but also for other CITES-listed species. The poor trade monitoring has also resulted in data variation and inconsistency which will have implication to forest management, taxes and revenue. The National Workshop held on 24 July 2007 on the evaluation of CITES implementation has also questioned the pricing of ramin for both domestic and international trade. This Workshop recommended that a closer look on data collection mechanism, monitoring and export control of forest products, including ramin, needed to be carried out. This Activity is therefore proposed to address the above issues, with specific focus on ramin.

PART II. THE ACTIVITY

1. Activity Objectives

The proposed activity is expected to contribute to improved management on ramin through the formulation of a roadmap towards the SFM and conservation, improved implementation on CITES, more effective mechanism in tackling illegal trade and improved trade control and monitoring.

Inclusion of ramin into CITES Appendix II requires assessment to ensure that the harvest will not cause detrimental effect to the survival of the species population and habitat. NDF on ramin has not been well elaborated and introduced to the field officers and staff, especially to the relevant parties in Sumatra and Kalimantan. This activity is therefore expected to contribute to the improvement of the NDF assessment and quota determination. In addition to NDF, the activity is expected to contribute to the improvement on the accuracy of statistical data presentation by improving data collection mechanism on the resource base, harvest and trade control and monitoring. The Workshop recommended that a closer look on data collection mechanism, monitoring and export control of forest products, including ramin, needed to be carried out. This activity is therefore proposed to address the above issues, with specific on ramin.

2. Justification

2.1. Problems to be Addressed

Over-exploitation, illegal logging and forest fire have contributed to the rapid degradation of habitats and even rapid degradation of the ramin population. Logging moratorium and the inclusion of ramin into CITES Appendix is expected to contribute to the SFM and conservation of the species by determining harvest quota. The harvest quota is determined based on field assessment on population, habitat and potential regeneration, including the performance of concessionaire. The major causes are the elaboration and description (manual and guideline on the CITES compliance system) is yet to be well disseminated to the relevant parties in the field, causing insufficient human resources and capacity to execute the assessment.

In addition, improved management of ramin also requires accurate data on resources, harvest and trade. Methods of collection and presentation of trade control and monitoring, including the accuracy and up-dating of data are still poor, especially those on ramin. Ramin, for which only one concession is allowed to harvest in Indonesia, still has the least accuracy in its statistical data, especially on its harvest and trade due to various factors and barriers. This activity is therefore proposed to identify the barriers and improve data collection, including the accuracy and consistency of trade data.

2.2. Intended Situation after Activity Completion

The expected situations after activity completion are as follows:

- (i) more direct and effective efforts toward the achievement of SFM and conservation through a roadmap;
- (ii) improved capacity in CITES compliance systems;
- (iii) improved effectiveness of the Tri National Task Force;
- (iv) improved trade control and monitoring; and
- (v) improved and more accurate and consistent data presentation

2.3. Target Beneficiaries

The benefits of the activity are (i) a roadmap towards the SFM and conservation; (ii) improved capacity in CITES compliance system; (iii) improved performance of the Tri National Task Force; (iv) improved trade control and monitoring and (v) improved and more accurate and consistency of statistical data presentation.

The primary beneficiaries of the activity are the Ministry of Forestry (MoF), CITES MA/SA, research institutions, universities and forest concession companies. The benefits will be delivered through project publications, workshops, consultative meetings, training and other related public events organized by the MoF and other institutions.

2.4. Risks

The proposed activity focuses on workshop, training and review on some issues related to the harvest and trade on ramin. The potential risk will be in the insufficiency of participants willing to participate, but this is unlikely to occur.

3. Outputs

The expected outputs are:

Output 1. A Roadmap for SFM and conservation and CITES trade compliance system.

Output 2. Review on the effectiveness of regional forum to combat illegal trade, including ramin.

Output 3. Improved trade data collection, monitoring and trade control.

4. Activities

Output 1. A Roadmap for SFM and conservation and CITES trade compliance system

Activity 1.1. *Establish a Roadmap towards the sustainable management and conservation on ramin.*

Activity 1.2. *Strengthen CITES trade compliance system through dissemination of CITES rules and regulation on the listing of ramin and other plant species.*

Output 2. Review on the effectiveness of regional forum to combat illegal logging, including ramin.

Activity 2.1. *Closer look at the Terms of Reference (TOR) of regional forum (Tri-National Task Force on Trade in Ramin) by Indonesia.*

Activity 2.2. *Facilitate active communication (including informal meeting) with Malaysia and Singapore on the possibility to resume the work of the Tri-National Task Force on Trade in Ramin with improved or revised TOR.*

Output 3. Improved trade data collection, monitoring and trade control

Activity 3.1. *Review on trade data collection, monitoring and trade control.*

Activity 3.2. *Verification workshop on trade data collection, monitoring and trade control.*

5. Work Plan

Outputs and Activities	Responsible Parties	Schedule (in months)											
		1	2	3	4	5	6	7	8	9	10	11	12
Output 1. A Roadmap for SFM and conservation and CITES trade compliance system													
<i>Activity 1.1. Establish a Roadmap towards the sustainable management and conservation on ramin</i>	FORDA	■	■	■	■								
<i>Activity 1.2. Strengthen CITES trade compliance system through dissemination of CITES rules and regulation on the listing of ramin and other plant species</i>	FORDA/ CITES-SA			■	■	■	■						
Output 2. Review on the effectiveness of regional forum to combat illegal trade, including ramin													
<i>Activity 2.1. Closer look at the Terms of Reference (TOR) of regional forum (Tri-National Task Force on Trade in Ramin) by Indonesia</i>	CITES MA						■	■	■	■			
<i>Activity 2.2. Facilitate active communication (including informal meeting) with Malaysia and Singapore on the possibility to resume the work of the Tri-National Task Force on Trade in Ramin with improved or revised TOR.</i>	CITES MA						■	■	■	■	■		
Output 3. Improved trade data collection, monitoring and trade control													
<i>Activity 3.1. Review on trade data collection, monitoring and trade control</i>	CITESMA/ FORDA-BRIK									■	■	■	■
<i>Activity 3.2. Verification workshop on trade data collection, monitoring and trade control</i>	CITES MA/ FORDA-BRIK									■	■	■	■

Note: FORDA= Forestry Research and Development Agency, CITES-MA= Directorate of Biodiversity Conservation, BRIK= Badan Revitalisasi Industri Kehutanan (Forest Industry Revitalization Board).

6. Budget

6.1. Total Activity Budget*

Budget Components		Total
10. Activity Personnel		
	11. National Expert ⁺	4,500
	12. Team Leader ⁺	6,000
	13. Project Secretary	2,400
	19. Component Total	12,900
20. Sub-contract		
	21. Sub-contract	-
	29. Component Total	-
30. Duty Travel		
	31. DSA	-
	32. Return Ticket	-
	33. Local transport	-
	39. Component Total	-
40. Capital Items		
	41. Office Space	2,400
	49. Component Total	2,400
50. Consumable Items		
	51. Office Utilities	2,400
	52. Office Supplies	1,000
	53. Other consumable items	2,250
	55. Component Total	5,650
60. Miscellaneous		
	61. Printing and editing	2,100
	62. Package for Training workshop	14,000
	63. Package for National workshop	10,000
	64. Package for Review trade data	4,000
	65. Package for Technical workshop	8,000
	66. Other miscellaneous	2,250
	69. Component Total	40,350
Total Budget by Component		61,300
70. Executing Agency Management		
	Executing Agency Management costs (10% of Total of Overall Activity Budget by <i>Activity</i>)	6,130
	79. Component Total	6,130
Grand Total		67,430

Note: * Refer to **Annex1** for the "Overall Activity Budget by *Activity*".

+ Refer to **Annex 2** for the respective "Terms of Reference".

6.2. Activity Budget by Source

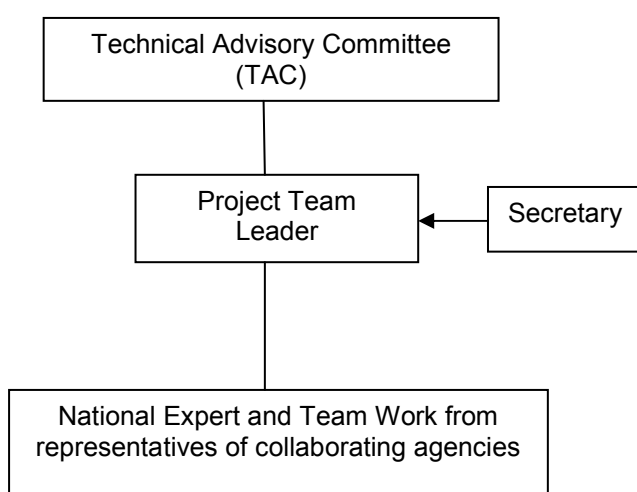
Budget Component	Source		Total
	ITTO	GOI (in-kind)	
10. Activity Personnel	12,900	-	12,900
20. Sub-contract	-	-	-
30. Duty travel	-	-	-
40. Capital Item	-	2,400	2,400
50. Consumable Item	2,250	3,400	5,650
60. Miscellaneous	39,850	500	40,350
70. Executing agency Management Cost	-	6,130	6,130
Total	55,000	12,430	67,430

PART III. OPERATIONAL ARRANGEMENTS

1. Management Structure

Operational activities will be executed by the Directorate of Biodiversity Conservation (CITES-MA) as the Executing/Implementing Agency in cooperation with the Forestry Research and Development Agency (FORDA) and the BRIK (Forest Industry Revitalization Board). In the execution of the activity, the Executing Agency will form a Technical Advisory Committee (TAC) consisting of the Directorate of Biodiversity Conservation (Chair), Secretariat of FORDA, the Center for Forest and Nature Conservation Research and BRIK. In addition to the TAC, the operational activities will be led by a Team Leader who will be appointed within the MoF. The Team Leader will be hired throughout the project period. The Management structure of the activity is as in **Figure 1**.

Figure 1. Management Structure



2. Monitoring, Reporting and Evaluation

(i) Monthly Activity Progress Reports

The monthly activity progress report will be prepared based on the achievement of the activities/outputs as described in the Work Plan.

(ii) Project Technical Reports

Technical reports including review paper on CITES implementation will be prepared in accordance with the schedule of activities.

Language of the report could be Indonesian to achieve a wider audience of readers in Indonesia and the executive summary will be presented in English.

(iii) A Project Completion Report

A Project Completion Report will be submitted within two months of the activity completion.

Overall Activity Budget by Activity

Outputs and Activities	Budget Components															
	10		20		30		40		50. Consumable Items		60. Miscellaneous		Quarter Year	Grand Total		
	Project Personnel		Sub-contract		Duty Travel		Capital Items		ITTO	GOI	ITTO	GOI		ITTO	GOI	ITTO
	ITTO	GOI	ITTO	GOI	ITTO	GOI	ITTO	GOI								
Output 1. A Roadmap for SFM and conservation and CITES trade compliance system																
Activity 1.1. Establish a Roadmap towards the sustainable management and conservation on ramin	3,000	-	-	-	-	-	-	-	-	500	-	2,600	-	Q1-Q2	6,100	-
Activity 1.2. Strengthen CITES trade compliance system through dissemination of CITES rules and regulation on the listing of ramin and other plant species	-	-	-	-	-	-	-	-	-	-	-	14,000	-	Q1-Q2	14,000	-
Sub total Output 1.	3,000	-	-	-	-	-	-	-	-	500	-	16,600	-		20,100	-
Output 2. Review on the effectiveness of regional forum to combat illegal trade, including ramin																
Activity 2.1. Closer look at the Terms of Reference (TOR) of regional forum (Tri-National Task Force on Trade in Ramin) by Indonesia	1,500	-	-	-	-	-	-	-	-	500	-	500	-	Q2-Q3	2,500	-
Activity 2.2. Facilitate active communication (including informal meeting) with Malaysia and Singapore on the possibility to resume the work of the Tri-National Task Force on Trade in Ramin with improved or revised TOR.	-	-	-	-	-	-	-	-	-	-	-	10,000	-	Q2-Q3	10,000	-
Sub total.	1,500	-	-	-	-	-	-	-	-	500	-	10,500	-		12,500	-
Output 3. Improved trade data collection, monitoring and trade control																
Activity 3.1. Review on trade data collection, monitoring and trade control	-	-	-	-	-	-	-	-	-	-	-	4,000	-	Q3-Q4	4,000	-
Activity 3.2. Verification workshop on trade data collection, monitoring and trade control	-	-	-	-	-	-	-	-	-	-	-	8,000	-	Q3-Q4	8,000	-
Sub total	-	-	-	-	-	-	-	-	-	-	-	12,000	-		12,000	-
Non-activity Based Expenses																
Activity coordination	8,400	-	-	-	-	-	-	2,400	1,250	3,400	750	500	Q1-Q4	10,400	6,300	
Sub total Non-activity Based Expenses	8,400	-	-	-	-	-	-	2,400	1,250	3,400	750	500		10,400	6,300	
GRAND TOTAL	12,900	-	-	-	-	-	-	2,400	2,250	3,400	39,850	500	-	55,000	6,300	

Terms of Reference (TOR)

1. National Experts

Position: National Experts (NE) will be appointed based on the expertise and working experience on forestry, forest biology and ecology.

Responsibilities: The expert(s) will be responsible to carry out activities assigned, with agreed team members and/or collaborative institutions. Detail work description is provided based on each activity (assignment). The expert(s) prepares one technical report in accordance with the activity concerned. The submission of the technical report (including the review paper) will be within 2 months. The technical report must be presented in the meeting held by the project or concurrently with other meetings.

Qualification, time and payment: Hold at least a Master degree with 2-3 years experience in the fields as described above, good understanding in English both oral and written. The expert will carry out activity concerned within the time allocated by the project. Rate of payment is in accordance with the budget allocated as appear in the Budget and the experience of the expert. Names of NE candidates may be pre-identified, but may change based on their availability at the time of recruitment.

2. Team Leader

A Team Leader will be hired to lead operational activities under the project. The hiring is based either on individual activity or a set of activities of the project. The team leader will be determined by the CITES-MA based on his qualification, time availability and the recommendation (approval) of the Technical Advisory Committee.

Responsibilities: Lead operational field activity(s) as assigned, work closely with parties and personnel involved in the project team, and responsible to the CITES-MA through the TAC and prepare progress, technical and final technical reports under the direction of the CITES-MA.

The Team Leader and members of the team, along with their CVs, will be decided and included into Work Plan of the Activity. If necessary, the name of Team Leader will be forwarded to ITTO for obtaining "Non Objection Letter" (NOL). Nominated team leader and its members may have been initially determined, but may change based on their availability at the time of recruitment.