



Expert Panel for Technical Appraisal of ITTO Project Proposals

Report of the 57th EP Meeting
Virtual Review, June/July 2022

John J. Leigh

Item 1 of the JC Provisional Agenda

Document ITTC/EP-57

58th Session of the International Tropical Timber Council, ITTC
Yokohama, Japan (Hybrid Session), 7 – 11 November 2022



Mandate of the Expert Panel

- To assess pre-/project proposals in accordance with the Terms of Reference of the Expert Panel (*see Annex 1 of the EP Report*)
- To screen the proposals relevance to ITTA Objectives and ITTO's Action Plan, Council decisions, programs and ITTO guidelines
- To recommend amendments & if major, request resubmission
- To take into consideration previous reports of the EP
- To report on the results of the assessment to Council, Committees and submitting Governments



Members of the 57th Expert Panel

Producer Group

Mr. Arevalo, Rosven (Colombia)

Mr. Dambis, Kaip (Papua New Guinea)

Mr. Iddrisu, Mohammed Nurudeen (Ghana)

Mr. Leigh, John (Peru)

Mr. Lokossou, Achille Orphée (Benin)

Mr. Sidabutar, Hiras (Indonesia)

Consumer Group

Ms. Tabata, Akiko (Japan)

Ms. Ghadiali, Aysha (U.S.A.)

Mr. Schroeder, Jobst-Michael
(Germany)

Mr. Shim, Kugbo (Korea)

Mr. Zhang, Zhongtian (China)

Nomination pending since 2019



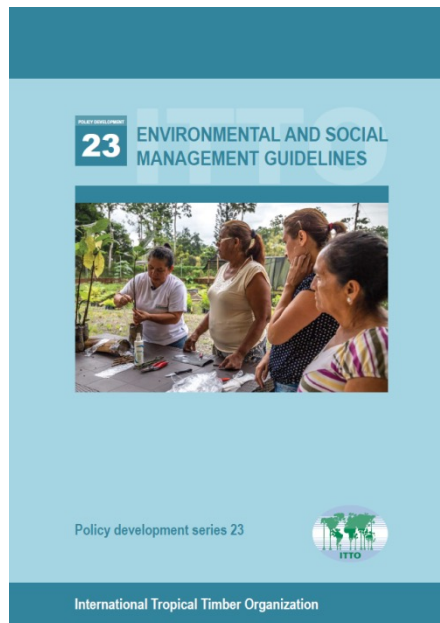
Implications of COVID-19

- The 57th Expert Panel was scheduled to be held at the ITTO Secretariat in Yokohama, 27 June - 1 July 2022;
- Due to continued exigencies of the COVID-19 pandemic including immigration restrictions, quarantine requirements, disruption and/or cancelations of flight routes, it was not possible to physically convene the meeting or to reschedule;
- To avoid excessive delay in considering the proposals received under the Spring & Autumn 2022 cycles, the Secretariat proposed a virtual assessment procedure to the EP members;
- The proposed procedure was endorsed by the EP members.
- Review was conducted through electronic means in Jun/Jul 2022.



Criteria for the assessment

- Based on the ITTO Manual for Project Formulation, 3rd Edition (2009)
- A comprehensive weighted scoring system to support the technical appraisal of each project proposal
- ITTO Policy Guidelines on Gender Equality and Empowering Women (GEEW)
- ITTO Environmental and Social Management Guidelines



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ITTO POLICY GUIDELINES ON
GENDER EQUALITY AND EMPOWERING WOMEN (GEEW)

I. PURPOSE

1. ITTO is committed to gender mainstreaming and enhancing gender equality outcomes across its policy and project work. ITTO's Policy Guidelines on Gender Equality and Empowering Women (GEEW) institutionalize this commitment. The Policy Guidelines serve as a framework for gender integration and mainstreaming in ITTO policies, plans, programmes, projects, activities and internal functioning and are intended to enhance the impact and effectiveness of the Organization's operations in all areas and at all levels.

II. RATIONALE

2. Through the adoption of the 2030 Agenda for Sustainable Development, all ITTO Members recognize the crucial role of gender equality to realizing sustainable development and are committed to advancing Sustainable Development Goal 5: To achieve gender equality and empower all women and girls. In this context, ITTO recognizes that:

- Gender equality and empowering women are essential to achieving sustainable forest management, including the sustainable management of tropical timber producing forests, which is a core ITTO objective.
- Women participate in the forest sector in diverse ways and within different cultural and geographic contexts, including as part of indigenous and local communities, as migrants, as farmers, and as workers, technicians and professionals.
- In many rural communities, particularly in producer countries, men and women typically play different roles in forestry and agroforestry systems. Women are often primary collectors and users of forest resources, such as fuelwood, wild foods and medicinal herbs, have traditional knowledge of forest management practices that are often inherently sustainable, and make specific contributions to tropical forest value chains which are important for household incomes and well-being.
- Due to a variety of interrelated cultural, social, economic and institutional factors, which vary from country to country and sometimes within countries, women are often disadvantaged in the forest sector. Specific disadvantages vary depending on the spheres(s) in which the women operate, which may be rural, urban, indigenous, local, national, technical, professional, public and/or private.
- Despite their crucial role in forest management, many women are limited in their control over forest lands, access to financial resources, technology, education and training, share in forest-based benefits, and decision-making opportunities.
- Women are also often disadvantaged in tropical timber-based industries where they may not have equal access to employment opportunities or receive equal pay for equal work.
- In many Member countries, women are underrepresented, particularly at senior levels, in forest authorities, institutions and organizations, as well as in tropical forest-based processing and manufacturing industries and international trade groups. This hampers their ability to influence, and bring their perspectives to bear on, public and private sector decisions.
- In addition to cultural biases, the lack of sex-disaggregated data and statistics on the role of women in forestry, particularly in trade and industry, hampers the development of policy interventions to address gender inequalities.
- The potential of women to engage in, contribute to and benefit from the international forest-based economy as leaders, participants and agents of change, has yet to be fully realized. More work is needed by all stakeholders at all levels, including the ITTO.





The Scoring System

The scoring system promotes the objective and efficient work of the Expert Panel by:

- Guiding the assessment
- Reducing the subjectivity
- Compelling to look close at all aspects
- Balancing the importance of the different aspects
- Promoting consistency
- Helping to issue recommendations
- Discussion by all members of the EP

The Scoring System serves as a tool, but at the end the final decision depends on discussion among the EP Members.



The Final Categories

Category 1: Proposal commended to the Council & Committees

Category 2: Proposal requires essential modifications > revision > resubmission to EP

Category 3: Pre-project Proposal is required

Category 4: not recommended and submitted to the Committee with recommendation not to approve the Project Proposal (*e.g. complete reformulation is necessary; or in case of the project rev.2 proposals, because proponents don't attend properly the recommendations made by the panel; insufficient information*)



The virtual process

Two EP members/proposal (*Producer/Consumer*)



EP members apply the common criteria and the scoring system



If both EP members rank a proposal 'Category 1', the proposal will be commended to Council/Committees



If both EP members rank a proposal 'Category 4', the proposal will be returned to proponent

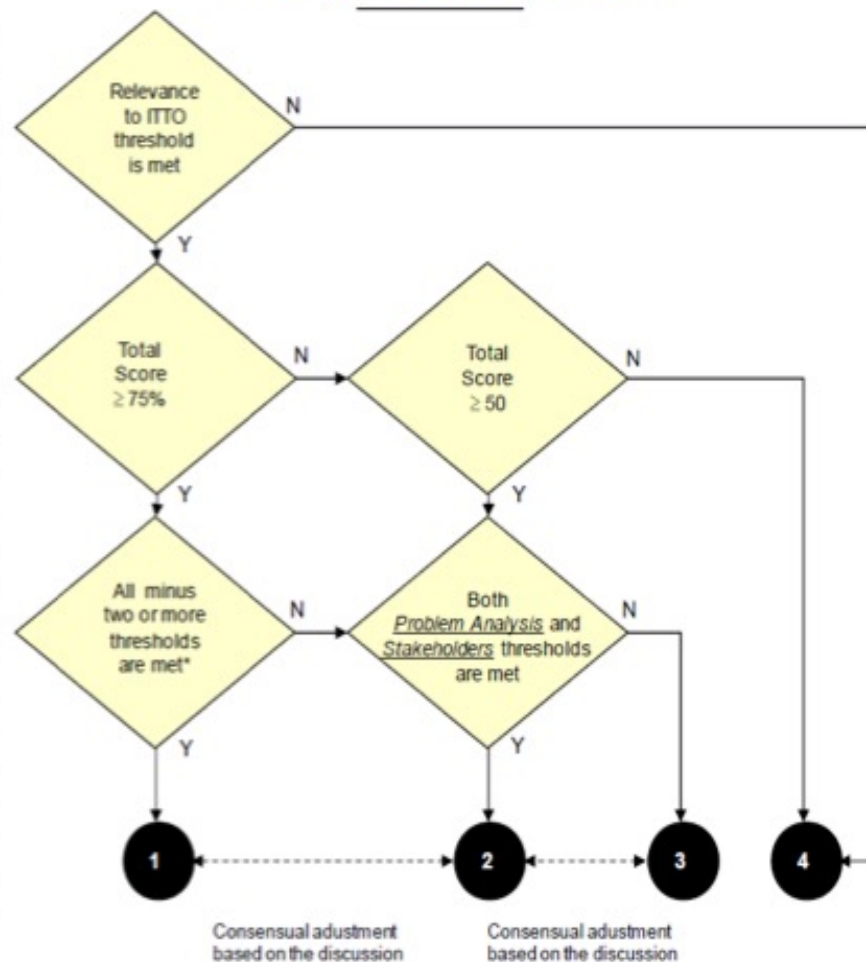


In all other cases the proposal will be held until the EP can physically meet (*e.g., divergence of views; reviewers rank differently; ranking Cat. 2 or 3*)



1.	Project relevance, origin and expected outcomes (15)	
1. 1.	Relevance	
1. 1. 1.	<i>Conformity with ITTO's objectives and priorities (1.2.1)</i>	
1. 1. 2.	<i>Relevance to the submitting country's policies (1.2.2)</i>	
1. 2.	Origin (1.1)	
1. 3.	Geogr. location (1.3.1)+ Social, cultural and environ. aspects (1.3.2)	
1. 4.	Expected outcomes at project completion (1.4)	
2.	Project identification process (25)	
2. 1.	Institutional set up and organisational issues (4.1. + 2.1.1)	
2. 2.	Stakeholders	
2. 2. 1.	<i>Stakeholder analysis (2.1.2)</i>	
2. 2. 2.	<i>Stakeholders involved at inception (2.1.3.) & implementation (4.1.4.)</i>	
2. 3.	Problem analysis (2.1.3)	
2. 3. 1.	<i>Problem identification</i>	
2. 3. 2.	<i>Problem tree</i>	
3.	Project design (45)	
3. 1.	Logical framework matrix (2.1.4)	
3. 1. 1.	<i>Objectives (2.2)</i>	
3. 1. 2.	<i>Outputs (3.1.1)</i>	
3. 1. 3.	<i>Indicators & means of verification (columns 2 and 3 of the LogFrame)</i>	
3. 1. 4.	<i>Assumptions and risks (3.5.1)</i>	
3. 2.	Implementation	
3. 2. 1.	<i>Activities (3.1.2)</i>	
3. 2. 2.	<i>Strategy (approaches and methods, 3.2)</i>	
3. 2. 3.	<i>Work plan (3.3)</i>	
3. 2. 4.	<i>Budget (3.4)</i>	
3. 3.	Sustainability (3.5.2)	
4.	Implementation arrangements (15)	
4. 1.	Project's management (EA - 4.1.1, Key staff - 4.1.2, SC - 4.1.3)	
4. 2.	Reporting, review, monitoring and evaluation (4.2)	
4. 3.	Dissemination and mainstreaming of project learning (4.3)	

The scoring system in practice





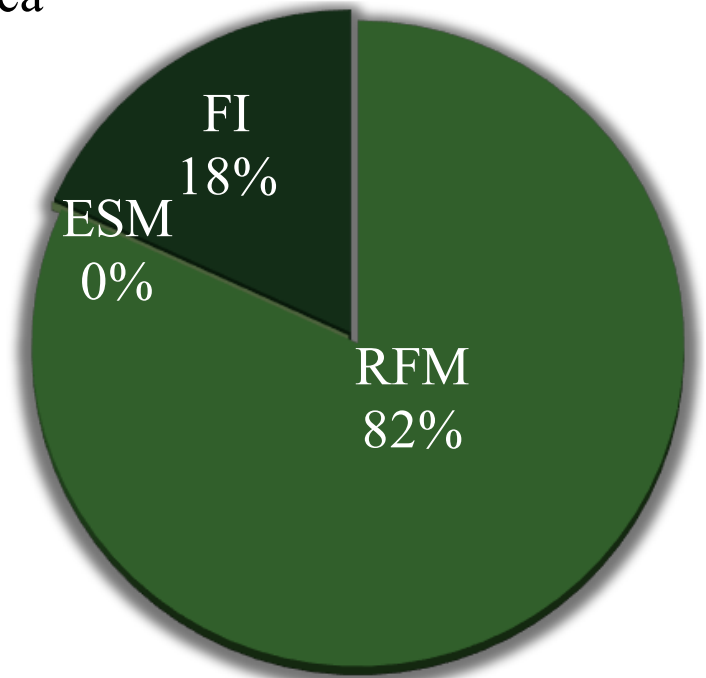
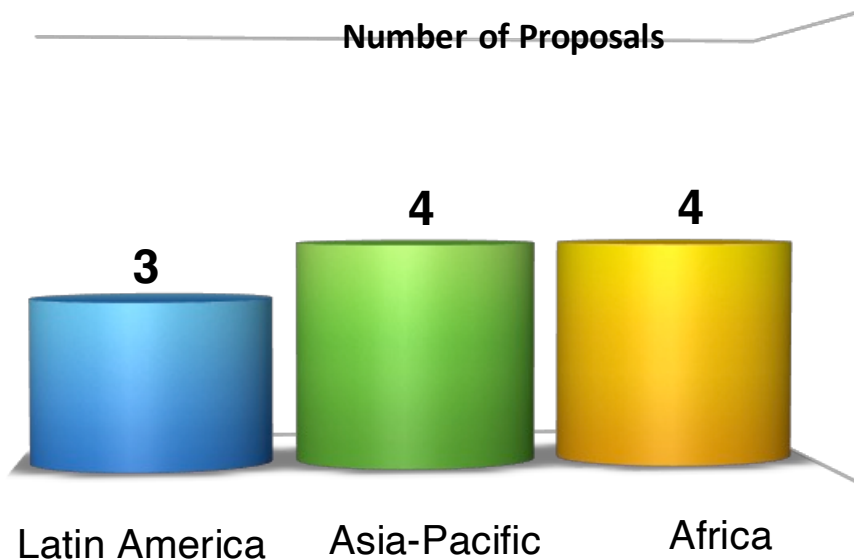
11 proposals assessed in 2022

11 proposals received under Spring & Autumn 2022 cycles (including 3 proposals resulted from concept notes)

11 project and 0 pre-project proposals

3 Latin America; 5 Asia-Pacific; 6 Africa

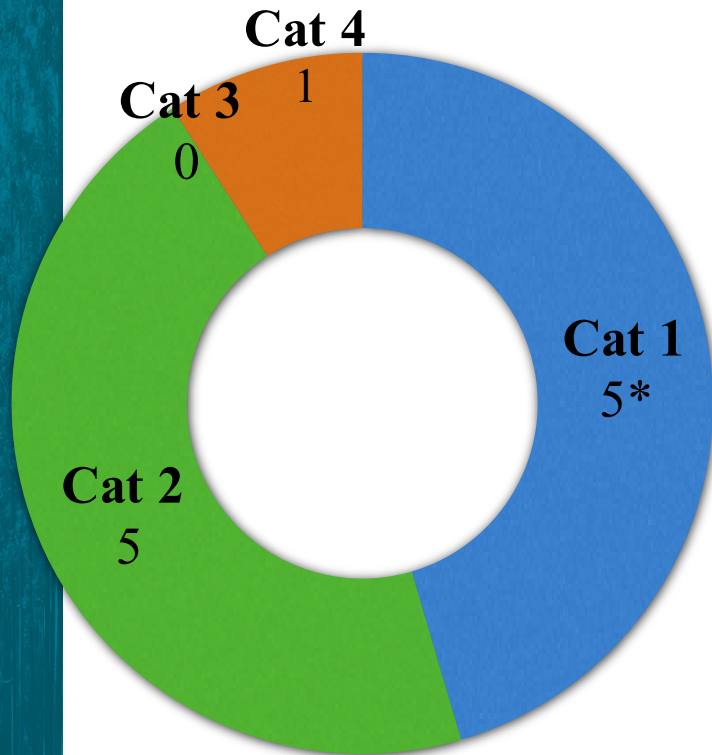
9 RFM; 2 FI; 0 ESM



FI = Forest Industry; ESM = Economics, Statistics & Markets; RFM = Reforestation & Forest Management



Results of the 57th Expert Panel



- Category 1 = 5; 45%
 - Category 2 = 5; 45%
 - Category 3 = 0; 0%
 - Category 4 = 1; 10%
- On 19 August 2022 members were informed that the Category 1 proposals had been posted for the time-bound electronic no objection procedure
 - As no objections were received by the deadline (2 September 2022), the list of proposals pending finance on the ITTO website has been updated accordingly

* *Benin (2), Indonesia (1), Peru (1), Thailand (1)*



Major findings in 2022

- Most proposals were submitted by NGOs and government agencies addressing the various needs of the countries at the national, regional and local levels.
- The number of proposals is continuously decreasing year after year:

39 in 2018

30 in 2019

18 in 2020

14 in 2021

and only **11 in 2022**

- The number of small projects has also increased substantially over the same period of time.
- Both Gender and Environmental and Social Management issues were seldomly incorporated into the proposals.
- The first project proposal arising from a Concept Note was assessed by the Expert Panel.



Recommendations to ITTO

The ITTO Manual for Project Formulation (3rd ed., 2009) and the Scoring Sheet require an immediate update with consideration of:

- the *Guidelines on Gender Equality and Empowering Women*
- the *Guidelines for Environmental and Social Risks and Impact Assessments in ITTO Projects*
- the incorporation of Concept Notes, as per the *Financing Scenarios under the New Programmatic Approach*



Thank you