

## Project Concept Note (CN)

<b>1. General Description</b>
<p><b>1.1. Project Title</b> Lessons from ITTO projects for sustainable land use coordination between forestry and agriculture as a contribution to the global dialogue on “deforestation-free supply chains”</p>
<p><b>1.2. Submitting Country</b> Japan</p>
<p><b>1.3. Specific Location &amp; Country/ies/regions/areas benefitting from the project</b> TBD</p>
<p><b>1.4. Endorsement from ITTO Focal Point<sup>1</sup></b> TBD</p>
<p><b>1.5. Intended Project Duration (in months)</b> 12-18</p>
<p><b>1.6. Indicative Budget (in US\$)</b>            ITTO Budget: US\$100,000            Counterpart Budget: US\$/N/A            Total Budget: US\$100,000</p>
<p><b>1.7. Programme Line Focus<sup>1</sup></b>  <input checked="" type="checkbox"/> Legal and Sustainable Supply Chains  <input type="checkbox"/> Biodiversity in Productive Forests  <input type="checkbox"/> Forest Landscape Restoration and Resilient Livelihoods  <input type="checkbox"/> Emerging Issues and Innovation</p>
<p><b>1.8. Project Type<sup>2</sup></b>  <input type="checkbox"/> Capacity Building/Training  <input type="checkbox"/> Community/field-based project implementation  <input type="checkbox"/> Pilot/demonstration project  <input checked="" type="checkbox"/> Analytical work/studies  <input type="checkbox"/> Workshop/meeting/seminar  <input type="checkbox"/> Innovation  <input checked="" type="checkbox"/> Policy development/implementation  <input type="checkbox"/> Market/product development  <input type="checkbox"/> Other<sup>3</sup></p>
<p><b>1.9. Proposal Summary</b> (max. 2000 characters)            Approx. 80% of global deforestation can be attributed to the expansion of land used for agriculture in commodity products, such as palm oil, meat, soy, cocoa, maize, or rubber. While the sovereign rights of countries regarding land conversion are not in dispute, there are insufficient guiding principles in consuming countries to ensure that imported commodities are produced in accordance with local laws and approved land-use plans (including related to forest conservation) in producing countries. Some consuming countries have already initiated assessments designed to introduce demand-side measures to ensure “deforestation-free supply chains” and this proposal is aimed at contributing to this effort.</p> <p>Whilst expansion of agricultural production is inevitable for producing countries to achieve economic growth and secure food supply for their rapidly increasing populations, these countries seem certain to face increasing pressure to ensure that their products are produced properly and are ‘deforestation-free’. The purpose of this proposal is to undertake a study to assist ITTO to leverage its abundant project-driven experiences to identify major drivers contributing to the success/failure of coordination between forestry and agriculture at the landscape level. This policy study will:</p> <ol style="list-style-type: none"> <li>i. review completed ITTO projects which entailed issues related to land use change and/or planning from forest to agriculture; and</li> <li>ii. analyze factors that might have led to success/failure of coordination between competing land use interests, including ecological/geographical conditions of forest and farmland, range of stakeholders and their involvement, legal framework for land use, or methods of coordination adopted in the projects.</li> </ol> <p>The study results will combine discussion of legitimate needs for forest conversion with proposed measures to reduce deforestation, serving as a starting point for any future development of possible guidelines for land use change from forest to agricultural use.</p>
<b>2. Proponent Information</b>
<p><b>2.1. Executing Agency (EA) Information</b>            Name of Agency/Organization/Institution: ITTO Secretariat</p>

Name of main Contact Person: Sheam Satkuru  
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**2.2. Type of Organization**

- Governmental Agency
- Civil Society Organization
- University/Research Institute
- International Organization
- Private Sector/Industry Association
- Other<sup>iv</sup>

**2.3. Collaborating Agency/ies (same info as above for EA): N/A**

**2.4. Relevant experience of EA: (max. 500 characters)**

The ITTO Secretariat has extensive experience in implementing such policy studies and will recruit appropriate consulting expertise in close liaison with relevant officials from the government of Japan.

**3. Relevance (max 2000 characters for each sub-section)**

**3.1. Conformity with ITTO objectives (ITTA, 2006) and priorities (current SAP)**

ITTA Objectives under Article 1: a, b, c, d, e, g, j, k, l, m, n, s  
SAP 2013-2018 Priorities: 1, 2, 4 & 6

**3.2. Relevance to the ITTO Programme Lines**

The proposal is directly relevant to the pilot program lines on Legal and Sustainable Supply Chains and Forest Landscape Restoration

**3.3. Relevance to the Sustainable Development Goals (SDGs) and the Global Forest Goals (GFGs) and other forest related global agenda**

SDGs: 12, 13, 15 & 17  
GFGs: 1, 3, 4, 5 & 6

**3.4. Relevance to submitting country's policies**

Leveraging ITTO's expertise to help address global priorities as mentioned in the G7 environment ministers' communique and other high level international fora related to deforestation and sustainable supply chains. This is to help increase ITTO's presence in the international fora, assisting implementation of Article 15 and 28 of the ITTA. These actions would help strengthen Japan's ability to meet its international commitments and enhance its reputation as a host country.

- The proposal is relevant to Japan's "Forest and Forestry Basic Plan," which was revised in June 2021, stipulating the promotion of international cooperation and contribution for sustainable forest management in the world.

**3.5. Linkages to previous/ongoing ITTO and other projects/activities (if any)**

The proposed study has obvious links to ITTO's guidelines for sustainable tropical forest management and to the pilot program lines on Legal and Sustainable Supply Chains and Forest Landscape Restoration.

#### **4. Project synopsis (max. 2000 characters for each sub-section)**

##### **4.1. Objectives (reflecting reference to elements within all ITTO Guidelines as applicable)**

ITTO is to conduct a study/analysis, based on lessons learnt from past completed ITTO projects relating to land use coordination, aimed at identifying possible factors contributing to the success/failure of coordination between the forestry and agriculture (and other competing) sectors to contribute to the global dialogue on “deforestation-free supply chains.”

The study will be carried out as an ITTO sub-activity under the “Building Legal and Sustainable Forest Product Supply Chains (LSSC)” activity in the 2021-22 Biennial Work Programme. The study will make specific reference to relevant land-use planning elements in the ITTO Guidelines on Sustainable Forest Management in the Tropics, the ITTO Criteria and Indicators for the Sustainable Management of Tropical Forests and the ITTO Guidelines for Forest Landscape Restoration in the Tropics.

##### **4.2. Key problem(s) to be addressed**

The key problem to be addressed is a lack of understanding of the processes leading to forest land-use change in the tropics. The proposed study will analyze completed ITTO field projects to identify triggers resulting in land use conversion and how these processes are undertaken under existing national economic development plans or land use policies of a range of ITTO producer members. This analysis will contribute to a deeper understanding of what has worked in the past and what improvements are needed in future to ensure that land use conversion is undertaken in a way that minimizes impacts on existing forests. The study will also consider ways in which existing conversion of forest areas could have been minimized or avoided and what remedial measures could be considered in order to consider products arising from such areas ‘deforestation-free’.

##### **4.3. Main stakeholders and beneficiaries**

International agencies/processes, member governments, regulatory authorities and other actors in the sectors involved.

##### **4.4. Key activities**

The study will be conducted by a lead consultant, assisted where necessary by consultants in producing countries. It will involve:

- Reviewing relevant ITTO project work and other relevant activities in selected producer member countries related to forest land-use change
- Reviewing current international efforts towards ‘deforestation-free’ policies
- Examining land-use policies in a selected group of ITTO producer member countries
- Identifying strengths and weaknesses of inter-sectoral coordination in the above group of countries and from ITTO project work
- Recommending what can be improved/considered for ITTO and member countries.

##### **4.5. Expected outcomes and impacts, including innovation/transformation**

The outcomes of this analysis will consist of guidance to ITTO producer member countries on future approaches to land use coordination to avoid or minimize deforestation associated with land-use changes. The study will also provide an analysis of the (potential) impact of demand-side measures in consuming markets. It will provide advice on factors to be taken into account when planning agricultural expansion in forest areas. All lesson learnt and outcomes will be widely communicated and disseminated to other related interest groups through ITTO’s communications channels, and shared at relevant international forums, as appropriate, to enhance ITTO’s profile in this genre.

##### **4.6. Existing funding for (related) initiative(s)/established contacts to potential donors**

N/A

##### **4.7. Any other information deemed necessary/important**

N/A

##### **4.8. Risk mitigation measures**

Due to ongoing COVID-19 exigencies, research and interviews will be conducted mostly virtually with minimal travel. Contact between the consultants and ITTO focal points/relevant stakeholders will be facilitated and coordinated through existing linkages with administrative bodies in the selected countries and other groups recommended by the countries involved.

<b>5. Indicative Budget (in US\$)</b>			
<b>Description</b>	<b>ITTO</b>	<b>Counterpart</b>	<b>TOTAL</b>
<b>1. Personnel</b>	40,000		40,000
<b>2. Sub-contracts</b>	25,000		25,000
<b>3. Travel and DSA</b>	10,000		10,000
<b>4. Capital Items</b>			
<b>5. Consumables</b>	5,000		5,000
<b>6. Publication/Dissemination</b>	10,000		10,000
<b>7. Miscellaneous</b>			
<b>TOTAL</b>	90,000		90,000
<b>TO BE COMPLETED BY ITTO</b>			
<b>ITTO Project Monitoring &amp; Review</b>			
<b>Annual/Final Audit</b>			
<b>ITTO Programme Support</b>	<b>10,000</b>		<b>10,000</b>
<b>GRAND TOTAL</b>	<b>100,000</b>		<b>100,000</b>

Notes:

*i (PDF, JPG, PNG attachment) – without endorsement the CN will not be considered*

*ii Check 1*

*iii Check up to 3*

*iv Textbox for manual input*

*v Proponent to identify potential or anticipated risks and how they will be mitigated*

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