### INTERNATIONAL TROPICAL TIMBER ORGANIZATION ITTC(LIV) 10b

# FOREST LANDSCAPE RESTORATION (FLR): ANALYSIS OF ONGOING FLR PROGRAMS AND REVISION OF ITTO RESTORATION GUIDELINES

Part 1: Implementation of ITTO's Guidelines 2002

Part 2: FLR development since 2003

Part 3: Reviewed ITTO/CPF Guidelines 2019

Jürgen Blaser and Cesar Sabogal 6 November 2018



#### Part 1

# The ITTO Guidelines for the Restoration, Management and Rehabilitation of Degraded and Secondary Forests 2002



#### The "Tropical Forest Landscape"

500 m ha

850 m ha

120 m ha >400 m ha



Non-accessible primary forest, **Protected Areas** 

"Degraded Forest Landscapes" Degraded primary forests, Secondary forests, **Degraded forest land** 

Timber production in "Managed **Natural forests**"

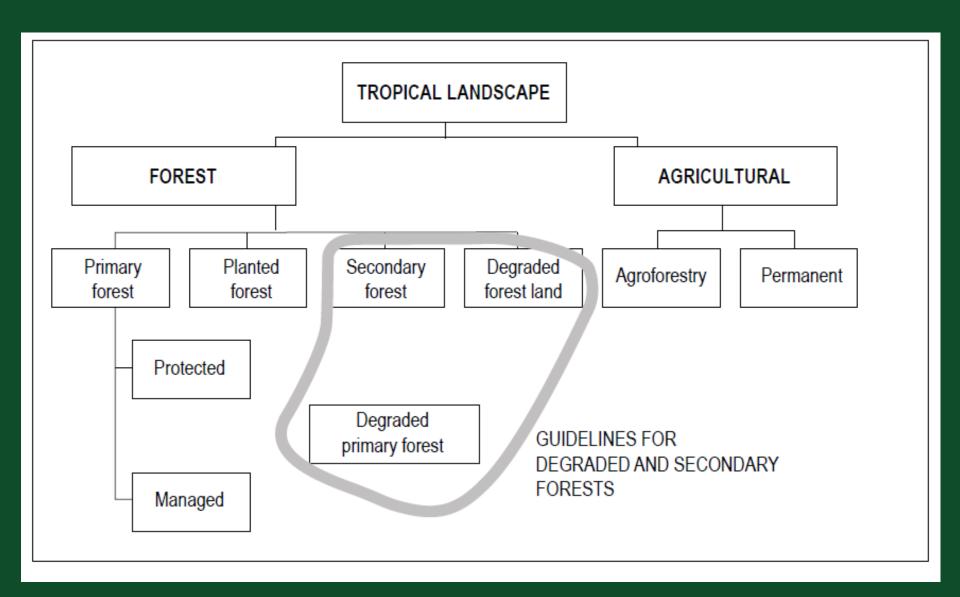
**Forestry outside** forests, forest plantations, **Trees in rural** landscapes, agroforestry, etc.

60 m people

300 m people

750-900 m people

### The different land-use types and forest conditions in a schematized tropical landscape



#### **Background of the Guidelines**



- 2000: ITTO Council decision to develop Guidelines
- 2001: Formulation of draft guidelines in collaboration with CIFOR, IUCN, FAO, WWF through consultants work and 2 expert meetings
- 2002: Council adoption of guidelines and approval of Workshops; published in November 2002
- 2003-2005: Regional Workshops to disseminate Guidelines, invite feedback and encourage new initiatives

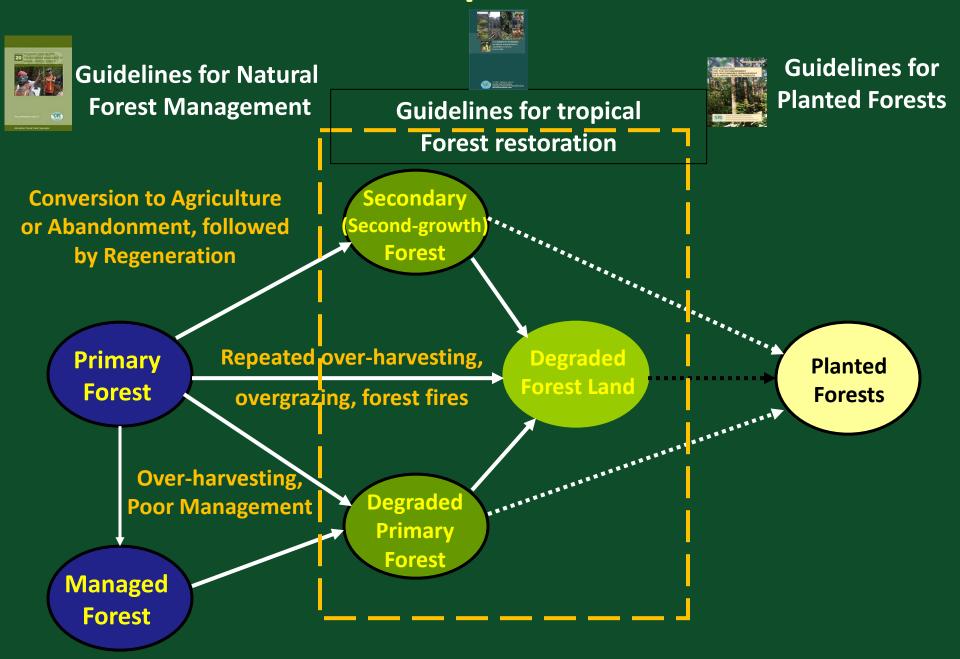
#### Purpose of the Guidelines



- A knowledge base for forest restoration of degraded (primary) forests and secondary forest management
- A planning tool at the local (FMU) and landscape level;
- A basis for stimulating best management practices
- A contribution to a policy framework for forest restoration and secondary forest management

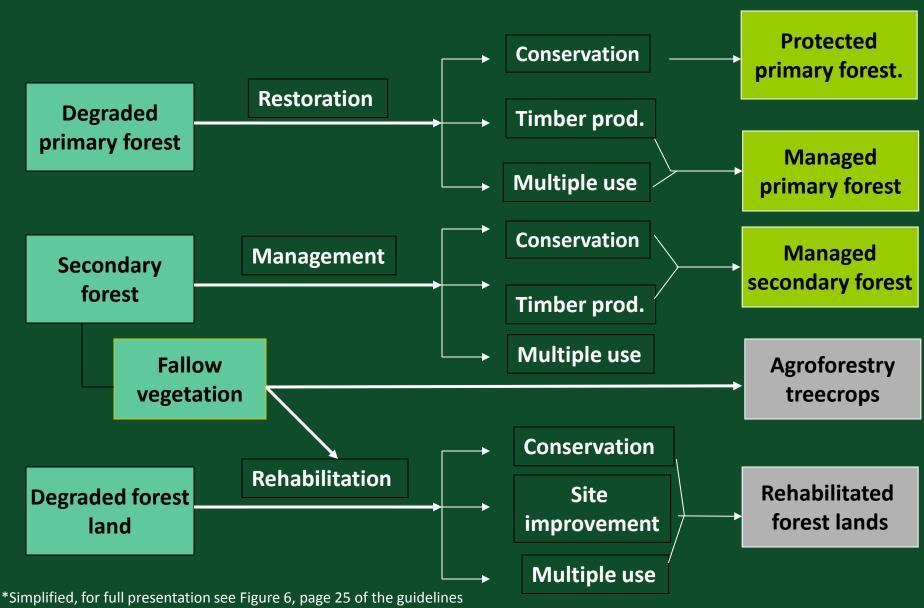


#### **Definitions and Scope of the Guidelines**



#### Management strategies, objectives, outcomes\*





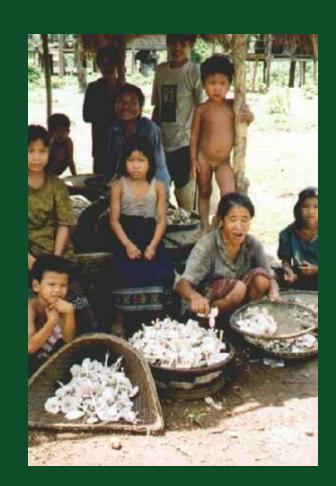
#### Structure of the guidelines



Guidelines are divided into two sections, with total of 8 objectives, 49 principles and 160 recommended actions

Section 1: Policy-planning level with 7 objectives (31 principles & 105 actions)

Section 2: Stand level practice with 1 objective (18 principles & 55 actions)



#### The 8 objectives



- 1.Attain **commitment** to the management and restoration of degraded and secondary forest landscapes
- 2. Formulate and implement supportive policies and appropriate legal frameworks
- 3.Empower local people and ensure the equitable sharing of costs and benefits
- 4.Employ integrated approaches to resource assessment, planning and management
- 5. Take an adaptive and holistic approach to forest management, emphasizing environmental and social values
- 6. Promote economic efficiency and financial viability
- 7. Guarantee participatory monitoring and evaluation as a basis for adaptive management
- 8. Utilise appropriate ecological and silvicultural knowledge and efficient management practices

#### **Principle 1: Landscape context**

# Degraded and secondary forests are an integral part of land-use systems. (p 33).

- •Restored forests provide numerous benefits and services.
- •They fulfil productive and protective functions.
- They need full recognition as an important land-use element.
- •If necessary to convert degraded forests this should be part of an overall land-use plan.

#### Recommended actions 1 –3

- 1) Incorporate degraded / secondary forests into land-use planning at the micro and macro scales.
- 2) Establish integrated land-use plans that reflect an appropriate balance between conservation, production and sustainable livelihood needs from forests.
- 3) Explore options for restoration and rehabilitation before allocating degraded and secondary forests to non-forest uses.

# 6 Regional Workshops on the ITTO Guidelines ITTC Decision 3(XXXII) Jointly with IUCN and CIFOR 2003-2005



- Workshops held with participants from 27 developing ITTO producer member countries
- Guidelines were found to be useful for policy decision making and project planning
- Participants valued landscape approach to forest restoration and secondary forest management
- Need for operational guidelines (manual) → elaborated

# Assessment of the ITTO Guidelines in 2015: Main Findings



#### Report by the WRI

- From a 2015 perspective the ITTO 2002
   Guidelines had limited use (lack of awareness)
- Guidelines need significant revision (structure, presentation, detail and user friendliness)
- Amount of recommendations and criteria can often overwhelm managers

# Conclusion for the revision of the 2002 ITTO Guidelines



#### The revision seeks to provide

- A more simple structure
- Merge the overlapping principles
- Use simplified wording
- Make them more applicable to stakeholders' needs

#### Aspects to address in the revision process

- Identify ITTO's comparative advantage within the Global Partnership on FLR (GPFLR) and utilize this to fill gaps
- Align the revision with global emerging issues
- Utilize the GPFLR to create visibility of the ITTO Guidelines and to support the implementation (incl. attracting financing)



#### INTERNATIONAL TROPICAL TIMBER ORGANIZATION

#### Part 2

### Ongoing FLR Programs of CPF members and others since 2003











### (Forest) landscape restoration gained enormous momentum since 2003



- SDG Goal 15 Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss
- Target 15.2 By 2020, promote the implementation of sustainable management of all types of forests, halt deforestation, restore degraded forests and substantially increase afforestation and reforestation globally
- Target 15.3 By 2030, combat desertification, restore degraded land and soil, including land affected by desertification, drought and floods, and strive to achieve a land degradation-neutral world

# The raising attention to landscape restoration globally



- 16 international organisations (incl. 11 CPF members)
   with major program on (forest) landscape restoration
- At least 10 global initiatives and 3 regional FLR initiatives started and implemented
- 8 major FLR guidelines / guidance developed
- At least 7 FLR tools designed and made available

→ see background report, Figure 1 Overview on the FL initiatives, programmes, guidelines and processes, page 35

## "Globally agreed" FLR principles (September 2018)



- (1) Focus on landscapes
- (2) Engage stakeholders and support participatory governance
- (3) Restore multiple functions for multiple benefits
- (4) Conserve and enhance natural ecosystems within landscapes
- (5) Tailor to the local context using a variety of approaches
- (6) Manage adaptively for long-term resilience
  - → FL Restoration is a process, a means to an end, not an end in itself...

# Target approach: FLR initiatives with defined area targets



Restoration Initiative	Defined area target (million ha)	Year of declaration	Target year
Bonn Challenge	150	2011	2020
New York Decl. Forest	350	2015	2030
FLRM (FAO with partners)	350	2014	2030
Land Degradation Neutrality (LDN)	2000	2015	2030
Global Restoration Initiative (GRI)	500	2015	2030
AFR100 – the African FLR Initiative	100	2015	2030
20x20 Initiative Latin America/Car.	20	2014	2020

→ High political commitment, high institutional engagement, financing and investments → CC funding, public and private?

# Restoration commitments of ITTO producer member countries



- 21 countries have made commitments between 2014 and 2016
- Total "committed area" for FLR of ITTO producer member countries (PMCs): 87.1 million ha

#### For comparison\*:

- ITTO PMC's Permanent Forest Estate total: 781 m ha
- Out of which production PFE: 353 m ha
- Sustainably managed PFE production: 31 m ha
- → What role of ITTO to support achieving FLR targets of ITTO member countries?



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### FOREST LANDSCAPE RESTORATION (FLR): ITTO FOREST RESTORATION GUIDELINES

Reviewed ITTO/CPF Guidelines 2019

Discussion elements for the CRF Meeting and the Bangkok Expert Meeting



Tuesday, 6 November 2018 Wed, 14 November 2018

Jürgen Blaser and Cesar Sabogal



#### ITTO Policy guidelines on tropical forest management

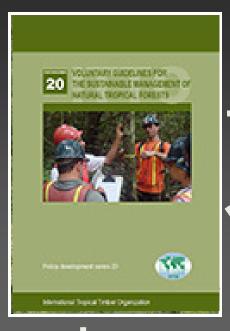
ITTO C&I 1992, 1998, 2005, 2016











Guidelines for the management of secondary tropical forests, tropical forest restoration and the rehabilitation of degraded forest lands (2002)

Guidelines for sustainable management of planted tropical forest (1993)



Guidelines for conserving biodiversity in production forests (1993, 2008)







Guidelines on fire management (1998)



#### Issues to discuss for CPF/ITTO Restoration Guidelines for tropical forest landscapes



- 1. Focus of the new guidelines
- 2. Restoration for what purpose
- 3. Scope of the new Guidelines
- 4. Restoration scenarios and options
- 5. ITTO Guidelines and the FLR Principles

#### FLR 2019-2030: What role for ITTO?



- 1. FLR is a process (promoted and implemented by many actors) including a wide array of interventions
- ITTO's existing guidelines are (technical) guidelines focusing on SFM tropics
- 3. New Guidelines being comprehensive (FLR) or specific (Restoration of tropical forests/mosaic landscapes)?
- 4. Global FLR Principles as the framework and alignment through tropical forest specific restoration scenarios and options?

#### **Objectives and overall Focus**



- FLR as a means to achieve in the long-term a more sustainable land use with enhanced social and ecological benefits → functional restoration
- Ultimate objective is SFM, sustainable agriculture and all other forms of conserving and managing landscapes
- Future landscape are in mind, which is different from the past: restoration is not "restoring" what was before
- New ITTO/CPF Guidelines could focus on
  - → "Building sustainable forest landscapes in the tropics"

#### Clear geographical scope is needed



TROPICAL FORESTS, include dense evergreen forests, semideciduous, transitional, gallery and fresh swamp forests mangroves and mountainous areas around the equator, tropical cloud forests.

TROPICAL BIOMES, include "forests, savannas, mosaics of forest-crop and forest-savanna, woodlands and other plant formations. Tropical savannas include savanna woodlands, savanna parkland, savanna grassland, low tree and scrub savanna, and scrub communities.

→ Define the geographical scope: Tropical forest landscapes

#### Scope of the New Guidelines



- Assessment Baseline situation and opportunities
- Mainstreaming FLR in national development programs/plans
- Cross-sectoral dialogue and planning at the landscape scale
- Land-use planning Territorial development
- Objective setting
- Restoration tools: Preparation/assessment; Evaluation of potential restoration outcomes; Prioritization, spatial planning and species selection
- Integrated landscape management Agriculture-forest interface
- Technological innovations
- Capacity development including project preparation & support
- Funding (public, private) Scaling-up investment
- Cost-effective monitoring schemes

#### → Which aspects to include and to what extent?

#### Missing Aspects in the current FLR process



- Clear policy-focus
- Tenure & resource use rights
- Market strategies and value chains for FLR products and services
- Economic considerations, e.g. guidance for economically viable and successful restoration projects
- Partnership building at multiple levels (national /subnational / landscapes)
- Business case for restoration investments
- → What and how to include?

#### Restauration situations



- Restoration of degraded (natural) forests
- Rehabilitation of degraded forest land (less than forest definition)
- Ecological restoration ("protective")
- Promotion of natural regeneration (of intact forests)
- Management of secondary forests
- Rehabilitation of degraded riparian vegetation
- Integration of trees in agricultural landscapes outside forests
- Integration of trees in pastoral/ livestock landscapes
- Conservation agricultural/ grazing practices
- Conservation measures
- Mangrove restoration & rehabilitation practices
- → Enormous range of situations (according to forest/land condition)
  Which aspects to include and to what extent?



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Possible RESTORATION SCENARIOS	FLR OPTIONS

1) Forest production areas

Restoration of degraded forests

Management of secondary forests

(production forests in concessions,

Rehabilitation of degraded riparian vegetation

Promotion of natural regeneration on degraded lands and marginal

Integration of trees in pastoral/livestock landscapes outside forests

Creation of planted forests (tree monocultures or multispecies/

e.g. Mangrove restoration & rehabilitation practices

Integration of trees in agricultural landscapes outside forests

Rehabilitation of degraded forest land

Management of secondary forests

Conservation agricultural practices

Conservation grazing practices

2) Forest protected areas

**Ecological restoration** 

agricultural sites

**Ecological restoration** 

(Agroforestry systems)

(Silvopastoral systems)

Agroforestry systems

Silvopastoral systems

multifunctional plantings)

Rehabilitation of degraded forest land Conservation measures

community land, private land...)

3) Riparian strips

(public, community, private land)

### 4) Regenerating forest areas in mosaic landscapes

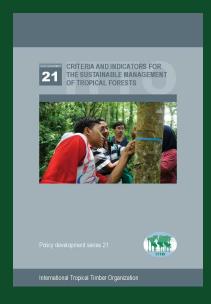
5) Degraded agricultural areas

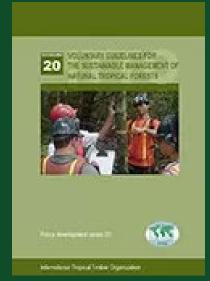
6) Degraded pasture/ grazing areas 7) Special forests....Degraded

### **CPF/ITTO Restoration Guidelines** for tropical forest (landscapes)

ПТО

- •Role of ITTO Guidelines in the FLR process?
  How to structure the Guidelines?
- FLR Definition and terminology to be used?
- •How will the ITTO (FL)R Guidelines contribute to the CPF/FLR approaches overall?
- Target audience and intended application of the new Guidelines?





### Comments from CPF members and the IUFRO community...



- "The (2002) guidelines may need updating..., but their scope needs to be clear and specific.
- Right now the proposals in the report cover a wide number of things, from FLR, to management, to landscapes, and as we know there is a lot of fuzziness over all of these terms, and how different people interpret them.
- ITTO needs to be very clear about what the guidelines cover and do not cover...."
- The report quite rightly highlights the diversity of definitions of FLR, which is a very good reason why it is difficult to define "[overall FLR] guidelines that fits the process of FLR"

### Issues to consider for preparing the new Guidelines



- Focus: building sustainable tropical forest landscapes. Guidance for restoring degraded (production, protection) forest and forest lands in all tropical forest biomes. The guidance will be at the policy level as well as technical/operational level
- Technical: on practical implementation of (FL)R under different contexts/situations and purposes
- Tool: On the question of how to restore functionality and for what in different contexts, restoration scenarios could be developed
- Consider restoration outcomes as part of the framework for structuring the Guidelines. Outcomes could be linked to the objectives and social actors benefitting from the interventions

## Comments from ITTO member countries on the process of revision



(7 November 2018)

- Define clearly target community and objectives (of the process)
- Focus on tropical forest (landscapes)
- Address both: policy and implementation, with focus on practical implementation of ITTO forest restoration guidelines
- Practical guidelines, take into account ITTO's comparative advantages
- Global framework and implementation through national approaches
- Refer to existing guidance, tools and instruments where adequate
- Harmonize definitions

## Outline proposal (to be defined until end of the week)



#### PART I:

Context, scope and approaches

#### PART II:

Global FLR Principles and policy guidelines for tropical forest restoration

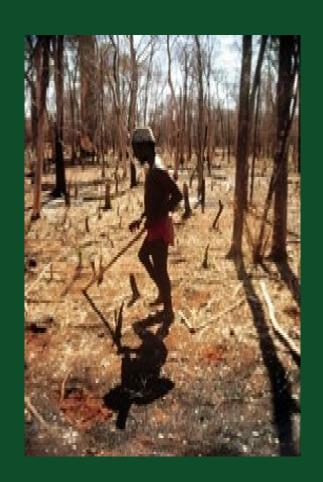
#### PART III:

Implementing tropical forest landscape restoration through restoration scenarios



#### The perfect is the enemy of good

- 1. Focus of new guidelines
- 2. Restoration for what purpose
- 3. Scope of new Guidelines:
  - (i) policy, monitoring and financing
  - (ii) practical implementation
- 4. Use of Restoration scenarios ["situations/outcomes"]
- 5. What will be the basis of the Guidelines  $\rightarrow$ globally agreed FLR Principles versus more operational oriented goals





# Thank you for your attention and inputs

